



Guam Housing and Urban Renewal Authority

Aturidat Ginima' Yan Rinueban Siudad Guahan

117 Bien Venida Avenue, Sinajana, GU 96910

Phone: (671) 477-9851 · Fax: (671) 300-7565 · TTY: (671) 472-3701 | Website: www.ghura.org



Conflict of Interest Disclosure

On behalf of the Government of Guam, GHURA administers U.S. Department of Housing and Urban Development Community Planning and Development funds. Under these programs there are grant provision that govern conflicts of interest. In addition, the Government of Guam has enabling legislation that contains supplemental conflict of interest provisions applicable to housing authority commission members and employees.

The Community Development Block Grant (CDBG) Program Conflict of Interest as stated in the code of federal regulations at 24 CFR § 570 are as follows.

24 CFR § 570.611 - Conflict of interest.

Conflicts Prohibited

Any person who is an employee, agent, consultant, officer or elected or appointed official of the participating jurisdiction, State recipient, or subrecipient receiving CDBG Program funds.

Who:

- Exercises or has exercised any functions or responsibilities with respect to activities assisted with CDBG funds or,
- Is in a position to participate in the decision-making process or
- Gains inside information with regard to such activities.

Is prohibited from:

- Obtaining a financial interest or benefit from a CDBG-assisted activity, or
- Having an interest in any contract, subcontract, or agreement with respect thereto, or the proceeds thereunder, either for themselves or those with whom they have family or business ties during their tenure or for one year thereafter.

Definition:

1. *Immediate family ties* include (whether by blood, marriage or adoption) the spouse, parent (including a stepparent), child (including a stepchild), brother, sister (including a stepbrother or stepsister), grandparent, grandchild, and in-laws of a covered person.
2. *Persons covered.* The conflict-of-interest provisions apply to any person who is an employee, agent, consultant, officer, or elected official or appointed official of the participating jurisdiction, State recipient, or subrecipient receiving CDBG Program funds.

_____ To the best of my knowledge and belief, I have no conflict of interest pursuant to 24 CFR § 570.611.

_____ I have a conflict of interest pursuant to 24 CFR § 570.611 and agree that I must immediately disclose the nature of such conflict to GHURA.

Print name

Signature

Date

Print name

Signature

Date

Disclosure of Conflict of Interest

Name: _____
(REALTOR – Print Name)

Name: _____
(Listing Agent – Print Name) If Applicable

Property: _____
(Legal Description and Real Property Address)

I acknowledge that should I receive CDBG Program funds from the purchase of the above Real Property, that I must disclose any form of Conflict of Interest as stipulated in the federal regulations at 24 CFR § 570.611.

Please read the statements below and mark the appropriate boxes.

1. Do you currently exercise or have you ever exercised any functions or responsibilities with respect to activities with GHURA or CDBG Program funds or, are you in a position to participate in the decision-making process, or gain inside information with regard to such activities?

☐ Yes

☐ No

(If yes, please describe the nature of the conflict below.)

2. Do you have any family or business ties with employees, agents, consultants, officers or elected or appointed officials of GHURA who will benefit from CDBG funds and who exercise or have exercised any functions or responsibilities with respect to activities assisted with CDBG funds, or is in a position to participate in the decision –making process, or gain from inside information with regard to such activities?

☐ Yes

☐ No

(If yes, please provide a name, title, position or other description of the individual in the contract or arrangement that gives rise to the conflict of interest.)

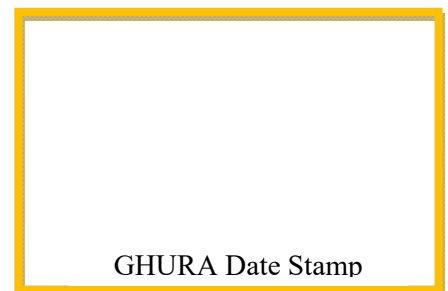
WARNING Title 18, Section 1001 of the United States Code states that a person is **GUILTY OF A FELONY FOR KNOWINGLY AND WILLINGLY MAKING FALSE OR FRAUDULENT STATEMENTS** to any department or agency of the United States.

MAKING FALSE STATEMENTS IS ALSO A FELONY UNDER GUAM LAWS.

I do hereby certify under the penalty of perjury that all of the information contained in these documents, as well as any additional information and/or documentation provided in support of it, is true and correct. I understand and acknowledge that making false statements is a crime under Federal and Guam law.

Print name Signature Date

Print name Signature Date



TO ENSURE THE INTEGRITY OF GHURA FUNDS, ALLEGATIONS OF FRAUD AND CORRUPTION ARE INVESTIGATED BY:
HUD - OFFICE OF INSPECTOR GENERAL
FEDERAL BUREAU OF INVESTIGATIONS
GUAM OFFICE OF THE ATTORNEY GENERAL

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Interest of Commissioners and Others in Projects; Duty to Disclose; Violation.

(a) During his tenure and for one (1) year thereafter, no Commissioner, officer or employee of the Authority shall voluntarily acquire any personal interest, direct or indirect, in any project or in any property included or planned to be included in any project, or in any contract or proposed contract relating to any project. If any such Commissioner, officer or employee involuntarily acquires any such interest or voluntarily or involuntarily acquired any such interest prior to appointment or employment as a Commissioner, officer or employee, or thereafter, then in any such event, the Commissioner, officer or employee, shall immediately disclose his interest, in writing, to the Authority and such disclosure shall be entered upon the minutes of the Authority, and the Commissioner, officer or employee shall not participate in any action by the Authority relating to the property or contract in which he has any such interest.

(b) Any violation of the foregoing provisions of this Subsection shall constitute misconduct in office.

(c) These provisions shall not be applicable to the acquisition of any interest in notes or bonds of the Authority issued in connection with any project, or to the execution of agreements by banking institutions for the deposit or handling of funds in connection with a project or to act as trustee under any trust indenture or agreement, or to utility services the rates for which are fixed or controlled by a governmental agency.

Print name

Signature

Date

Print name

Signature

Date