



Guam Consolidated Annual Performance and Evaluation Report (CAPER)

Program Year 2011
October 01, 2011 – September 30, 2012



GHURA

Guam Housing and Urban Renewal Authority
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MEMORANDUM

Eddie Baza Calvo
Governor of Guam

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Michael J. Duenas
Acting Executive Director

Frank J.C. Camacho
Deputy Director

TO: Governor of Guam

FROM: Acting Executive Director

**SUBJECT: Consolidated Annual Performance and Evaluation Report (CAPER)
for Program Year 2011**

Hafa Adai Honorable Governor Calvo,

Transmitted herewith is Guam's Consolidated Annual Performance and Evaluation Report (CAPER) for the period of October 1, 2011 to September 30, 2012. Attached to this report are three (3) certification forms that require your signature.

The CAPER covers activities related to the administration of grant funds received from the Office of Community Planning and Development, U.S. Department of Housing and Urban Development. Grant funds are made available through three grant programs, namely, the Community Development Block Grant (CDBG), HOME Investment Partnership Grant (HOME), and Emergency Shelter/Solutions Grant (ESG).

Please be advised the CAPER is not an award of new funding. It is a report summarizing how the funds from the grant programs were utilized to meet national objectives and Guam's Consolidated Plan (ConPlan) for Program Year 2011.

We request that the signed documents be returned to GHURA no later than December 05, 2012. GHURA is responsible for remitting the signed documents to the HUD Honolulu Field Office.

Should you have any questions, please contact Katherine E. Taitano, GHURA Acting Chief Planner, at 475-1322.

Si Yu'os Ma'ase,

MICHAEL J. DUENAS
Acting Executive Director



**GUAM STATE
CLEARINGHOUSE**

NOV 20 2012

Notice: Receipt of this document
"DOES NOT" imply that
all submission requirements
have been met.



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EDDIE BAZA CALVO

I Maga'låhen Guahan

RAYMOND S. TENORIO

I Segundu Na Maga'låhen Guahan

Kate G. Baltazar

Administrator

November 19, 2012

Honorable Eddie Baza Calvo

Maga'låhen Guåhan

Office of the Governor

P.O. Box 2950

Hagåtña, Guam 96932

Ref: Request for Signature; GHURA Consolidated Annual Performance and Evaluation Report (CAPER) for Program Year 2011

Hafa Adai Governor:

The Guam State Clearinghouse has completed the review of the Guam Housing and Urban Renewal Authority's Consolidated Annual Performance and Evaluation Report (CAPER) for Program year 2011. This report summarizes how funds from the Community Development Block Grant, the Home Investment Partnership Grant and the Emergency Shelter Grant were expended. It also outlines the performance of the programs' and how they met both local and national objectives. Your signature will certify the data, records, and activities of these programs.

The Guam State Clearinghouse recommends approval of GHURA's Request. Attached are all supporting documentation for your review and signature. If you have any questions or concerns, please do not hesitate to contact my office at 475-9384.

Dangkolo Na Si Yu'os Ma'åse',


Kate G. Baltazar
Administrator

Cc: File

CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT (CAPER)


Report Period: October 01, 2011 to September 30, 2012	Name and Address of Grantee: Government of Guam c/o Guam Housing and Urban Renewal Authority 117 Bien Venida Avenue Sinajana, GU 96910
Grant: Community Development Block Grant (CDBG) Home Investment Partnership Grant (HOME) Emergency Shelter Grant (ESG)	
<p>The grantee's authorized representative certifies that:</p> <ul style="list-style-type: none"> a. To the best of his/her knowledge and belief the data in this report was true and correct as of the date of the report. b. The records described in 24 CFR 570.506 are being maintained and will be made available upon request. c. Activities have been carried out in compliance with the certifications submitted with the application, and future activities will be carried out in compliance with the certifications. 	
Eddie Baza Calvo, Governor of Guam Name and Title of Authorized Representative (Type/Print)	671.477.9851 Telephone
 Signature of Authorized Representative	Date 12-03-12

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GUAM CAPER PY2011

Consolidated Annual Performance and Evaluation Report (CAPER) for Program Year 2011 (October 1, 2011 – September 30, 2012)



Executive Summary

The Government of Guam is designated as the recipient of the Department of Housing and Urban Development (HUD) Community Planning and Development grant funds for the Territory of Guam. As Chief Officer of the Government of Guam, the Governor has delegated the Guam Housing and Urban Renewal Authority (GHURA), a local government of Guam agency, to administer HUD CPD funds to ensure compliance with grant regulations.

Guam received over \$4.5M in CPD funds for Program Year (PY) 2011. Sixty-eight percent (68%) represented Community Development and Block Grant funds; 27% represented HOME Investment Partnership Grant funds; and 5% represented funding under the Emergency Shelter/Solutions Grant.

GHURA is also the Lead Agency, who administers two programs under the Continuum of Care Homeless Assistance Grant to include the Shelter Plus Care (SPC) and the Supportive Housing Program (SHP). These programs are awarded to organizations who competitively apply for funding to provide housing and supportive services to homeless persons.

As of this reporting period, Guam has spent \$4,113,250.34, or 91%, of CPD funds allocated for Program Year 2011. These funds have been utilized for eligible activities such as public facilities improvement, acquisition, construction, renovation and public services. These activities have met national objectives and are in line with the Guam Housing and Urban Renewal Authority's Consolidated Plan priorities and objectives. This CAPER will provide an overview of all the activities undertaken during Program Year 2011 as stipulated in the Annual Action Plan 2011 and will provide an update or explanation on projects currently underway or projects that have been discontinued or halted.

The various activities discussed in this CAPER address Guam's ConPlan long term goals to include: a) *Making Decent Housing Affordable by increasing homebuyer opportunities for low-and moderate-income individuals;* b) *Make Decent Housing Available and Accessible by acquiring, constructing, rehabilitating, or converting structures for use as housing for special needs populations;* c) *Sustain the available stock of decent housing by assisting very-low and low-income homeowners to*

sustain the physical and economic life of their homes, to meet current building codes and standards, and/or to modify to meet ADA standards; d) Making Suitable Living Environments Available and Accessible by providing operational support of facilities providing residential substance abuse treatment and recovery programs; and e) Sustain access to suitable living environments serving low-and moderate-income populations and special needs population by supporting the work of organizations providing assistance to very-low and low-income individuals, and special needs population.

General Questions

1. Assessment of the one-year goals and objectives:

a. Describe the accomplishments in attaining the goals and objectives for the reporting period.

➤ CDBG DECENT HOUSING

Ongoing Construction

1) *Sagan Linahyan Rental Rehabilitation*

This project was proposed in PY2011 to renovate a total of 10 abandoned homes in the northern neighborhood of Sagan Linahyan in Dededo. Once renovated and approved for occupancy, these affordable rental housing units will be managed by the Guam Housing Corporation, a local government entity whose mission is to operate affordable rental housing and mortgage lending. The renovations are anticipating completion by the end of October 2012.

2) *Staff Housing Rental Rehabilitation (Renaissance Homes)*

Proposed in PY2011, this project entails rehabilitating 10 abandoned homes in Southern Guam (5 homes in Talofofo and 5 in Merizo). These homes were formally known as Talofofo and Merizo Staff Housing. Once the units are completed, these homes will be available to low and moderate income individuals or families as affordable rental housing. This project anticipates completion by mid-November 2012.

3) *Homebuyer Infrastructure (Renewal Affordable Homes)*

Funds for this project will be used for the infrastructure upgrade and improvement to support the new Machananao and Machanao, Dededo development. The new development consists of five single family dwellings being constructed with HOME funds for sale as affordable housing for qualified individuals or families. Infrastructure upgrade include the construction of new sewer manholes required by Guam's Waterworks Authority, the sewer and water line upgrades and the improvement of the undeveloped roadway serving the newly constructed units. A delay in the project was caused by the miscalculation of water flow. Funds were spent to increase the water line size by one foot. Project completion is anticipated by March 2013.

Completed Construction/Activities

1) ***Sagan Bonita Infrastructure Upgrade***

Funds for this project provided for infrastructure upgrade for the proposed 56 units which will be made available for low and moderate-income first time homebuyers. Funds were used to upgrade the water system imposed by the water authority.

➤ **CDBG SUITABLE LIVING ENVIRONMENT**

Ongoing Construction

1) ***Expansion and Renovation of the Southern Health Clinic***

Funds continue to be drawn for this program to address warranty issues for the building. Staff is working closely with the Department of Public Health and Social Services (DPHSS) to address this issue. The construction, however, has been completed since July 2011.

2) ***Renovation and Construction of Macheche Neighborhood Multi-Purpose Facility***

Construction of the facility has been completed since May 2012. Funds still continued to be drawn during this PY2011 due to a change in the water pump. The Guam Fire Department will be inspecting the facility in October 2012. GHURA anticipates receiving an occupancy permit which will allow the non-profit group, the Illocano Association of Guam, to begin providing services to the low and moderate-income neighborhood of Macheche, Dededo.

Ongoing Activities (non-construction)

1) ***Lighthouse Recovery Center (LRC)***

The LRC provides 28 residential transitional housing beds for homeless, at-risk, and/or low-to-moderate income men with substance abuse issues. The program also provides outpatient support services to men and women recovering from chronic substance abuse. Funds are utilized to support the operations of this program.

2) ***Guma' Hinemlo***

Guma' Hinemlo provides permanent supportive housing for adults with serious mental illness. CDBG funds for this program are utilized to meet the cash match requirement of the SHP grant. This program receives renewal funding from the CoC SHP.

3) ***Homeless Management Information System (HMIS)***

The HMIS is a computerized data collection system that records and stores client level information by programs providing services to persons experiencing homelessness. CDBG funds were utilized to meet the cash match requirement of the SHP grant. This program receives renewal funding from the CoC SHP.

4) ***Nurturing Neighborhood Networks***

This program is administered by the Community Services and Resources, Inc. whose mission is to support healthy communities and self-sustaining

families through their Community-Based Family Education and Youth Development programs. These services are provided to low-to-moderate income residents of Pagachao, Agat; Gil-Baza, Yigo, and Sagan Linahyan, Dededo.

5) ***Homeownership Rehabilitation Direct Costs***

Funds are utilized for direct cost for providing loans to qualified families and individuals for the purposes of rehabilitating substandard conditions of their home. Services include counseling, preparation of work specifications, loan processing, inspections, and other services to assisting owners, contractors, and other entities participating or seeking to participate in the HOME program.

Completed Construction/Activities

1) ***Design and construction of Catherine's Home***

Proposed in PY2010, this project was completed in February 2012. Catherine's Home provides shelter for victims of domestic abuse and family violence. This gated facility is approximately 5,000 sq. ft. and is equipped with 10 bedrooms (6 family-sized/ 4 for individuals), 7 bathrooms, a shared living and dining areas, management office, conference room, private counseling room, privacy parking for staff and residents, covered driveway, and a therapeutic garden area. The location of the facility also makes it accessible for women and children to access an elementary and middle school and a learning resource center. Catherine's Home receives operational support from the Department of Public Health and Social Services' Bureau of Social Services Administration and is managed by the Catholic Social Services. Eight persons were served during PY2011.

Projects Postponed

- 1) ***Design and construction of a Cultural Repository***
- 2) ***Construction of a Mental Health Services Facility***
- 3) ***Design and construction of a Public Health Laboratory***

The three projects identified above were awarded \$300,000 in CDBG funds during PY2011 Annual Action Plan. However, due to substantial changes to the Guam military buildup to include significant reduction of Marines to Guam and the delinking of relocating the Marine's Futenma Air Station in Okinawa to Guam, Department of Defense funds to Guam were affected. These changes eventually led to the postponement of these three projects.

4) ***Renovation of the Richard DeGracia Naputi Multi-Purpose Field***

This project initially qualified under the National Objective as an "Area Benefit" activity. It was awarded \$525,000 under CDBG. Projects qualifying under an "Area Benefit" are determined by the results of Guam's most recent census. At the time this project was announced, Guam awaited the 2010 Decennial Census. However, the data was not available which required an extensive physical survey of the proposed

service area. In Guam's effort to meet the timely expenditure of funds it was determined that it was more reasonable to postpone the project.

Funding for all four projects were reprogrammed to other projects to include *Catherine's Home*, *the Renovation & Construction of Macheche Neighborhood Multi-Purpose Facility*, *Homebuyer Infrastructure (Renewal Affordable Homes)*, *Sagan Linahyan Rental Rehabilitation*, and *the Staff Housing Rental Rehabilitation (Renaissance Homes)*.

➤ **CDBG ECONOMIC OPPORTUNITY**

Guam did not engage in any Economic Opportunity activities during Program Year 2011. Guam, however, has submitted through its Annual Action Plan (AAP) PY2012 an Economic Opportunity project. HUD approval for the use of funds for this project and other projects identified in the AAP is pending.

➤ **HOME DECENT HOUSING**

Ongoing Construction

1) ***Sagan Bonita Affordable Homeownership***

Forty-three of the proposed fifty-six housing units are complete and have been purchased by low and moderate income families. Thirteen lots remain without homes. GHURA is seeking for the Micronesian Community Development Corporation (MCDC), a non-profit organization who will oversee the management of these homes, to pay GHURA for the remaining lots. Micronesian Community Development Corporation (MCDC) is also working closely with the Government of Guam to put in place streetlights and a school bus stop where the forty-three homes are built. Additionally, issues with utility relocation costs have risen and are currently being addressed.

2) ***Renewal Affordable Homes***

Funds continue to be utilized to construct ten single-family homes for purchase by eligible and qualified low and moderate income first-time home buyers. Five of these homes are anticipated to be completed in December 2012. The remaining five homes are projected for completion by March 2013.

Ongoing (Non-Construction) Activities

1) ***Homeowner Rehabilitation Loan Program***

Funds for this program continue to be drawn down. This program provides loans to qualified families and individuals for the purposes of rehabilitating substandard conditions of their home. Six (6) families were assisted during PY2011.

➤ **ESG SUITABLE LIVING ENVIRONMENT**

1) ***Lighthouse Recovery Center (LRC)***

The LRC is a twenty-eight (28) bed residential transitional program that provides substance abuse treatment and supportive services to men who

are homeless with substance abuse issues. The LRC provided residential transitional substance abuse treatment services to forty-four (46) homeless men during PY2011.

2) ***Family Services Center (FSC)/One Stop Homeless Assistance Center***

The FSC utilized funds to provide essential services and homeless prevention services to individuals and families who are homeless or at-risk for becoming homeless. The FSC provided these services to ninety-six (96) persons who were homeless or at-risk for becoming homeless. Of the 96 who were assisted, 49% were children.

HPRP

Homeless Prevention and Rapid Re-Housing Program (HPRP)

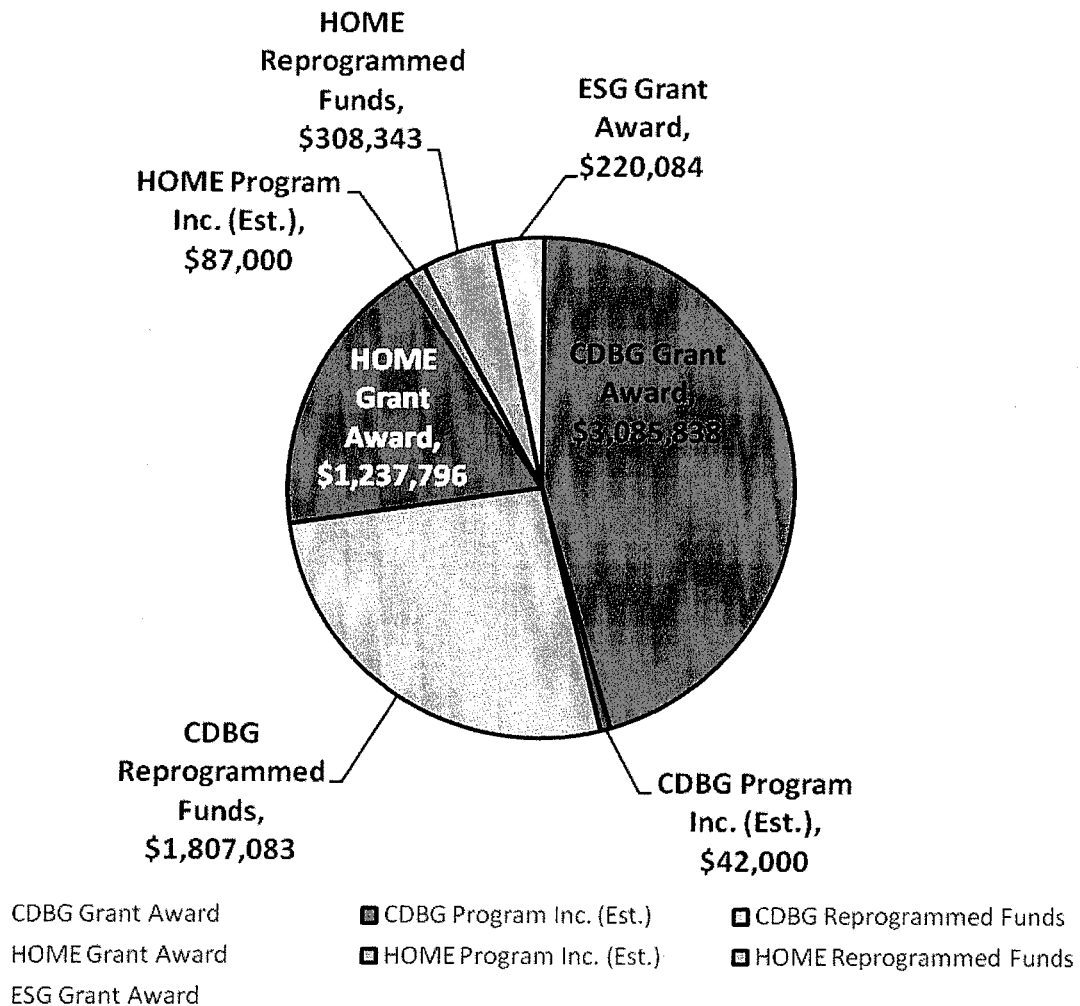
The Salvation Army (TSA) and Catholic Social Services (CSS) received American Recovery and Reinvestment Act (ARRA) funds through GHURA. Both organizations have experience in providing homeless prevention and rapid re-housing services. Funds were fully expended by June 2012. TSA expended \$635,589.50 and CSS expended \$566,133.50. TSA and CSS assisted a total of 400 households which represented 1,624 individuals.

b. Provide a breakdown of the CPD formula grant funds spent on grant activities for each goal and objective.

Guam received a total of \$4,543,718 in CPD funds (\$3,085,838 – CDBG; \$1,237,796 – HOME; and \$220,084 – ESG). A total of \$2,115,425.39 was reprogrammed from unspent funds from previous program years. Additionally, Guam was authorized to spend a total of \$2,115,425.39 of unspent funds from previous program years. Of this amount, \$1,807,082.82 was reprogrammed from unspent CDBG funds and \$308,342.57 from unspent HOME funds. Additionally, Guam received a total of \$1,124,575 in Continuum of Care (CoC) Homeless Assistance Grant funds (\$784,387 – Supportive Housing Program; \$340,188 – Shelter Plus Care). Of this amount, Guam spent \$787,156.92 in CoC funds to provide services to homeless persons on Guam.

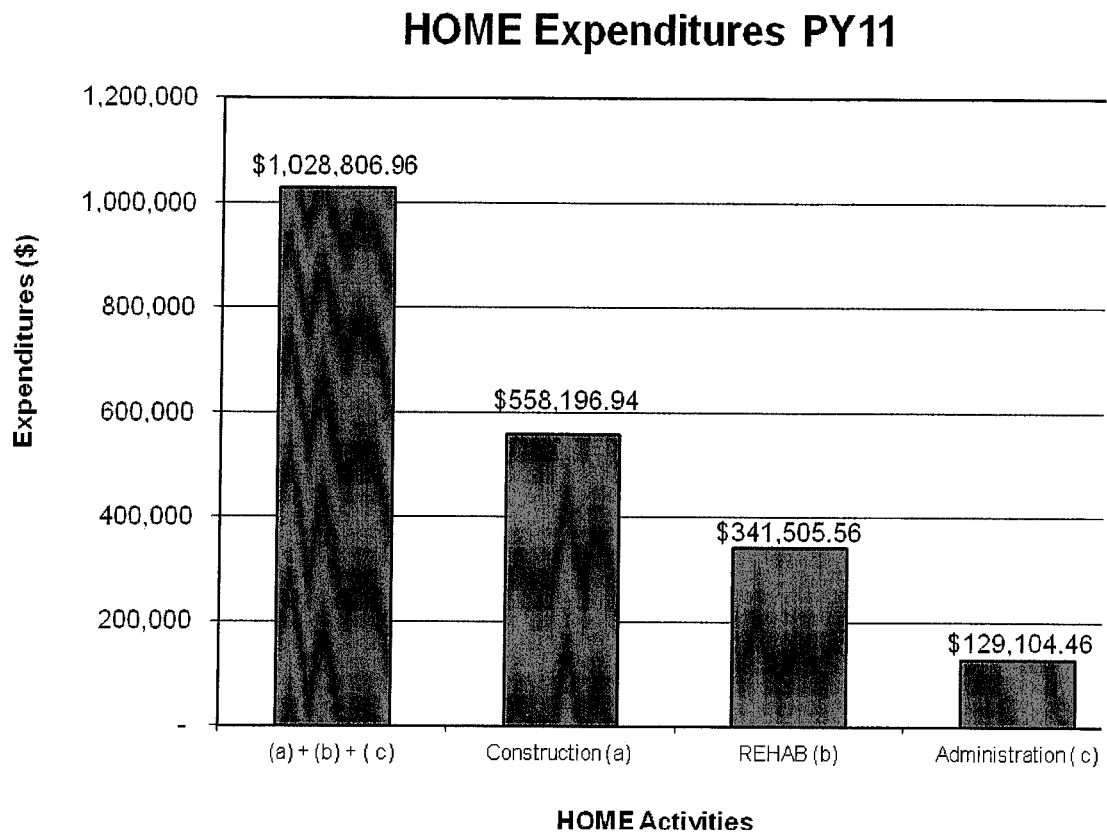
The statistical graphs below show a breakdown of funds received and expended.

HUD CPD Funds Authorized PY11



HUD CPD Funds Authorized PY11

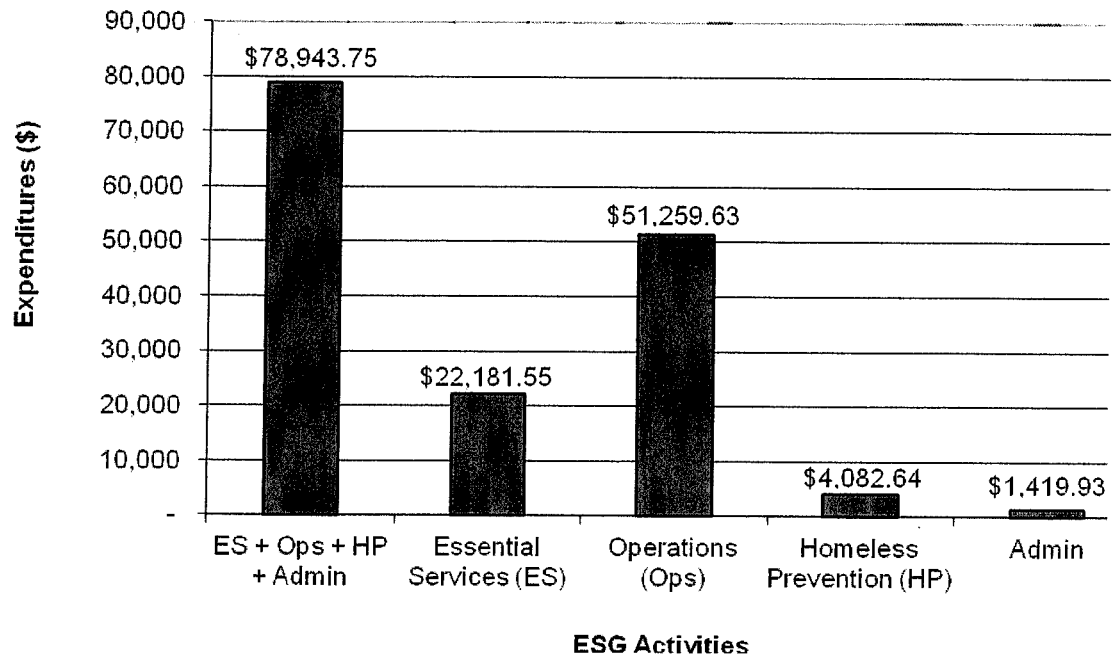
CDBG Grant Award	3,085,838.00
CDBG Program Inc. (Est.)	42,000.00
CDBG Reprogrammed Funds	1,807,082.82
HOME Grant Award	1,237,796.00
HOME Program Inc. (Est.)	87,000.00
HOME Reprogrammed Funds	308,342.57
ESG Grant Award	220,084.00



HOME EXPENDITURES PY11

(a) + (b) + (c)	1,028,806.96
Construction (a)	558,196.94
REHAB (b)	341,505.56
Administration (c)	129,104.46

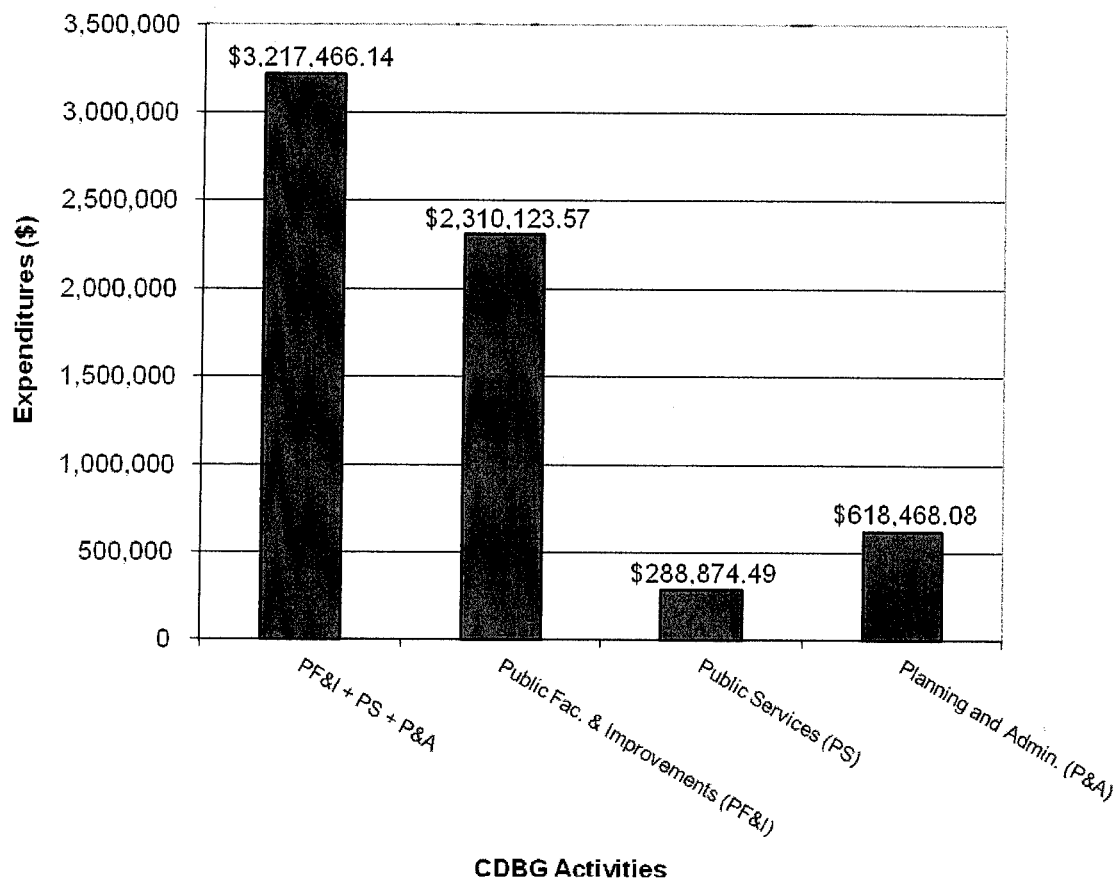
ESG Expenditures PY11



ESG EXPENDITURES PY11

ES + Ops + HP + Admin	78,943.75
Essential Services (ES)	22,181.55
Operations (Ops)	51,259.63
Homeless Prevention (HP)	4,082.64
Admin	1,419.93

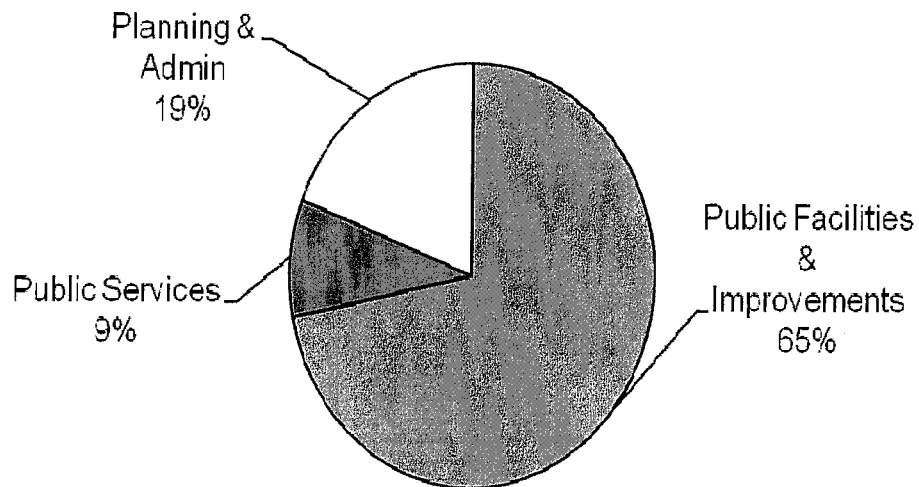
CDBG Expenditures PY11



CDBG EXPENDITURES PY11

PF&I + PS + P&A	3,217,466.14
Public Fac. & Improvements (PF&I)	2,310,123.57
Public Services (PS)	288,874.49
Planning and Admin. (P&A)	618,468.08

Community Development Block Grant Expenditures (by Activity Category)

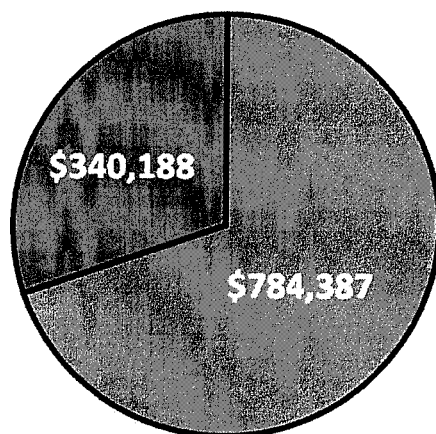


☒ Public Facilities & Improvements
 ☒ Public Services
 ☐ Planning & Admin

Community Development Block Grant Expenditures (by Activity Category)

(a)+(b)+(c)+(d)	100.00
Public Facilities & Improvements	71.80
Public Services	8.98
Planning & Admin	19.22

**Continuum of Care Program 2011 Funding Awards
(by Program)**

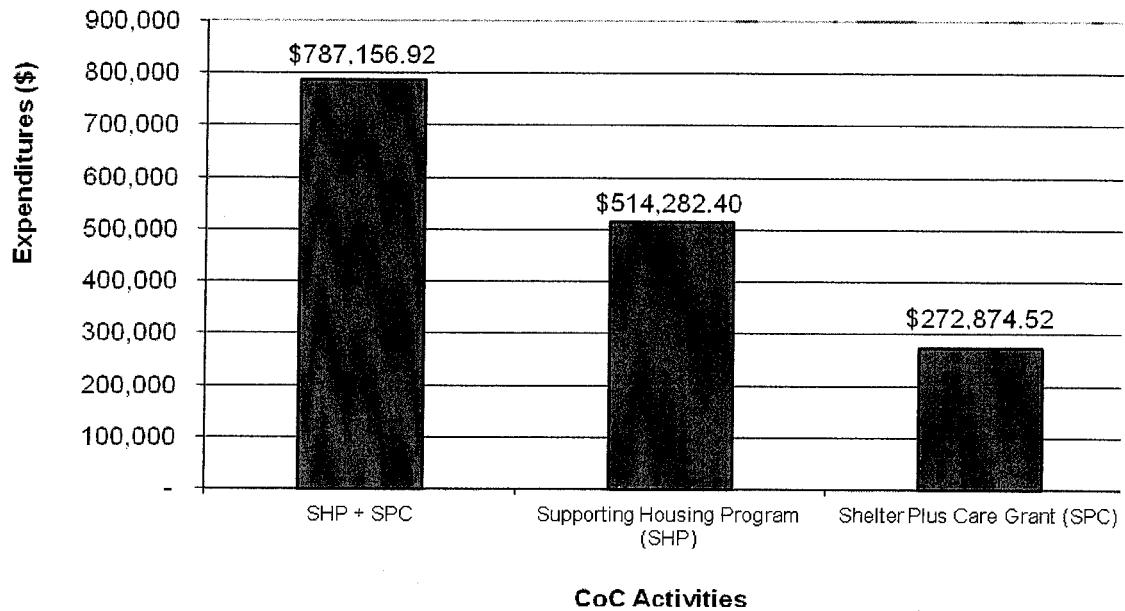


Supporting Housing Program ■ Shelter Plus Care Grant

Continuum of Care Program 2011 Funding Awards (By Program)

(a) + (b)	1,124,575.00
Supporting Housing Program	784,387.00
Shelter Plus Care Grant	340,188.00

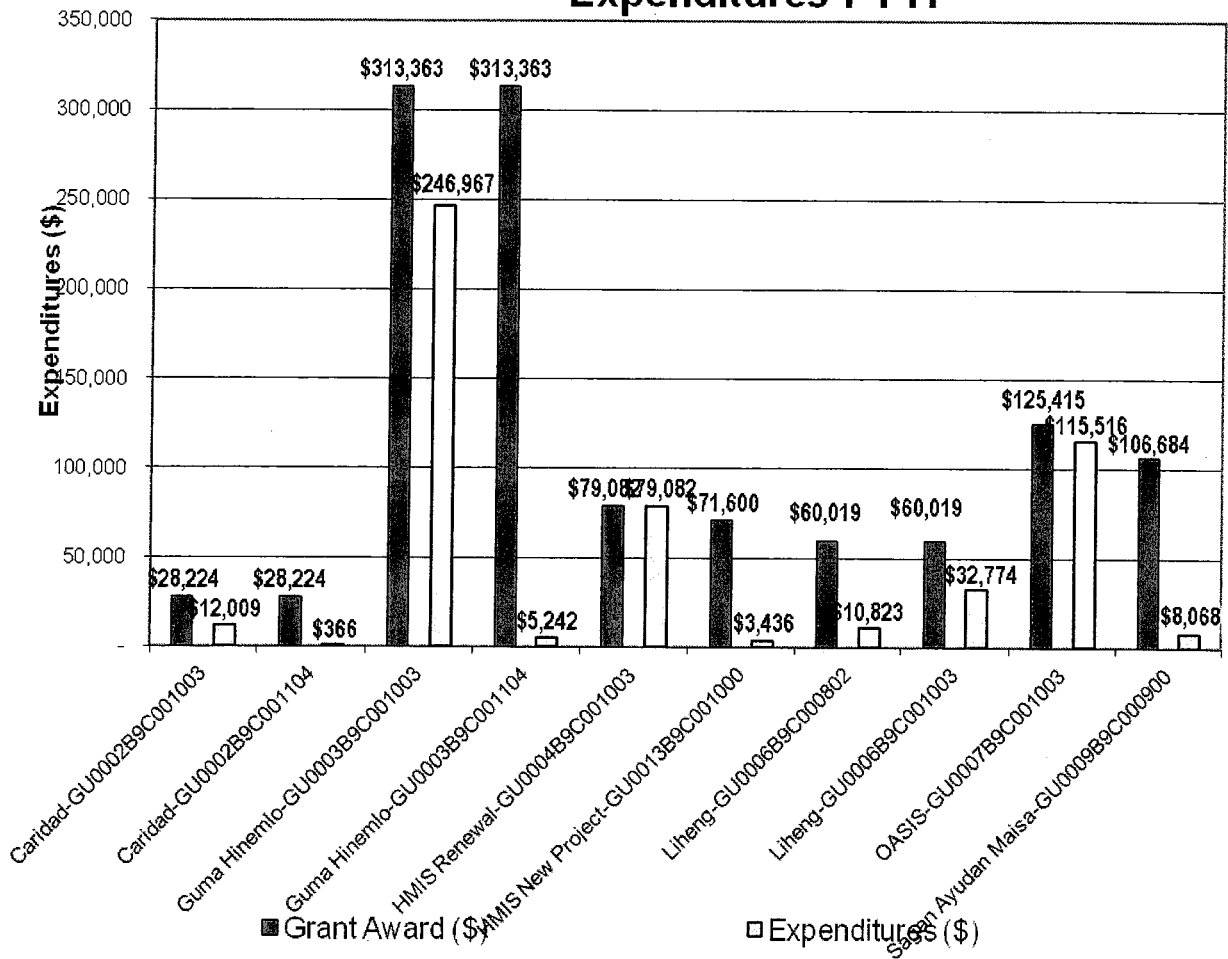
**Continuum of Care Grant Expenditures PY11
(by Program)**



Continuum of Care Grant Expenditures PY11 (by Program)

SHP + SPC	787,156.92
Supporting Housing Program (SHP)	514,282.40
Shelter Plus Care Grant (SPC)	272,874.52

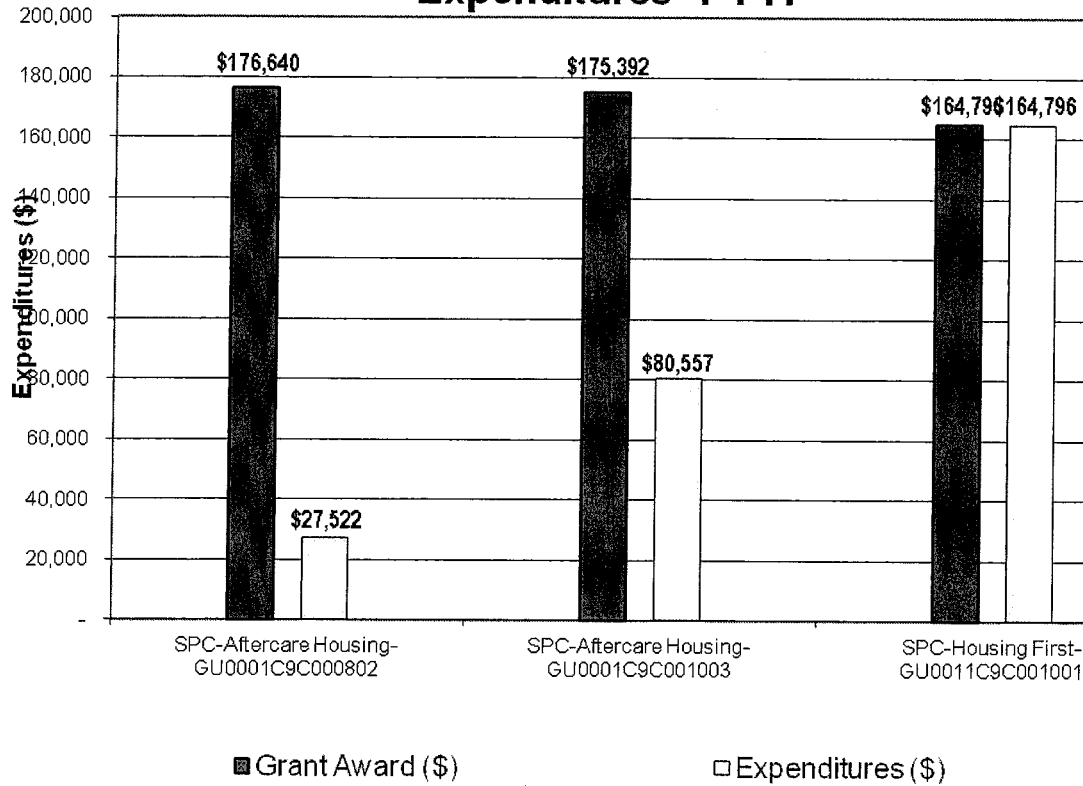
Supportive Housing Program (SHP) Expenditures PY11



Supportive Housing Program (SHP) Expenditures PY11

	Expenditures (\$)	Grant Award (\$)
Caridad-GU0002B9C001003	12,009.19	28,224.00
Caridad-GU0002B9C001104	366.16	28,224.00
Guma Hinemlo-GU0003B9C001003	246,967.05	313,363.00
Guma Hinemlo-GU0003B9C001104	5,241.90	313,363.00
HMIS Renewal-GU0004B9C001003	79,082.00	79,082.00
HMIS New Project-GU0013B9C001000	3,435.52	71,600.00
Liheng-GU0006B9C000802	10,823.18	60,019.00
Liheng-GU0006B9C001003	32,773.87	60,019.00
OASIS-GU0007B9C001003	115,515.76	125,415.00
Sagan Ayudan Maisa-GU0009B9C000900	8,067.77	106,684.00
Total	514,282.40	1,185,993.00

Shelter Plus Care (SPC) Expenditures PY11



SPC Projects

SPC Projects	Expenditures (\$)	Grant Award (\$)
SPC-Aftercare Housing-GU0001C9C000802	27,521.72	176,640.00
SPC-Aftercare Housing-GU0001C9C001003	80,556.80	175,392.00
SPC-Housing First-GU0011C9C001001	164,796.00	164,796.00
Total	272,874.52	516,828.00

c. If applicable, explain why progress was not made towards meeting the goals and objectives.

All projects continue to go through an evaluation process to determine eligibility towards meeting national objects and ConPlan priorities. Funds spent during PY2011 met Guam's ConPlan goals and objectives.

2. Describe the manner in which the recipient would change its program as a result of its experiences.

Guam continues to review the process of selecting strong viable activities for funding. Guam continues to explore new training and technical assistance opportunities to improve the administration and monitoring of the federally-funded activities. Guam intends to build upon the current monitoring plan for all CDBG-funded activities to ensure continued compliance with current rules and regulations.

These plans are coupled with the approval of the five-year Guam Consolidated Plan for 2010- 2014. As we continue to implement the ConPlan cycle, we are building on our experiences to adopt plans and strategies to address our challenges of past projects.

3. Affirmatively Furthering Fair Housing:

a. Provide a summary of impediments to fair housing choice.

Guam's last Analysis of Impediments to Fair Housing Choice Report was conducted in July 2011. This analysis identified five impediments to fair housing: 1) Difficulty Enforcing Fair Housing Laws Due to Guam's Landlord and Tenant Code; 2) Guam's Fair Housing Law not Substantially Equivalent to Federal Fair Housing Law; 3) The Lack of a Fair Housing Enforcement Organization on Guam; 4) The Public Does Not Understand the Complaints System; and 5) Difficulty Understanding Fair Housing Laws, Rights and Resources.

IMPEDIMENT ONE: DIFFICULTY ENFORCING FAIR HOUSING LAWS DUE TO GUAM'S LANDLORD AND TENANT CODE

Upon review of Guam's current landlord and tenant laws, it was apparent the cooperative effort of many other agencies on Guam is needed when revising the current code. The report indicated that for at least five years GHURA has made several efforts to revise the law, and although these attempts have failed, GHURA's experience and role in promoting fair housing on Guam has promoted them as a leader in making such revisions. Mistakes found in current procedures have been noted so they can be avoided by future revisionists. Additionally, the current government administration has taken an aggressive approach of improving people's lives on Guam. Interviews with stakeholders and a Town Hall meeting that had occurred last year showed the support needed to make revisions to the current law. Lastly, Guam's recent adoption of the International Building Code (IBC) as the island's building code show the legislature and administrative intent to support the effort to revise the current law.

IMPEDIMENT TWO: GUAM'S FAIR HOUSING LAW NOT SUBSTANTIALLY EQUIVALENT TO FEDERAL FAIR HOUSING LAW

As with impediment one, this impediment involves the legislative process. HUD documents and the Code of Federal Regulations set forth the requirements for Fair Housing. There is no comprehensive uniform code for Fair Housing. Most state laws that have been adopted have also been certified by HUD. Changing Guam's Fair Housing Law will require all three branches of the government with the proper administrative procedures and public involvement.

IMPEDIMENT THREE: THE LACK OF A FAIR HOUSING ENFORCEMENT ORGANIZATION ON GUAM

Requirements for developing a local Qualified Federal Housing Enforcement Organization (QFHEO) can be found in HUD's documents and Code of Federal Regulations. Guam's Commission on Community Reintegration will assist GHURA in providing a model for a QFHEO as the Commission had established a framework surrounding the application of the Olmstead Act on Guam. Guam's interest in developing its own Civil Rights Commission will prove beneficial when developing a QFHEO as the Commission will also deal with violations of employment and access public services as well as fair housing issues.

IMPEDIMENT FOUR: THE PUBLIC DOES NOT UNDERSTAND THE COMPLAINTS SYSTEM

This impediment relies heavily on the development and implementation of the first three impediments. Based on the report, it will take Guam approximately five years to fully address the first three impediments; however, there is room for interim solutions for improving the current complaints system.

IMPEDIMENT FIVE: DIFFICULTY UNDERSTANDING FAIR HOUSING LAWS, RIGHTS AND RESOURCES

Although GHURA already takes lead in providing services to promote fair housing, stakeholders have asked for the agency to increase their efforts to improve fair housing education, outreach and training.

b. Identify actions taken to overcome effects of impediments identified.

Guam continues to address Fair Housing by providing the community with information that identifies examples of discrimination and what to do in the event the individual or family feels they have been a victim of housing discrimination. This information can be found on GHURA's main website: www.ghura.org. GHURA provides brochures to the community and engages in discussion during events involving housing.

Participants in the Housing Choice Voucher Program also provided information regarding housing discrimination and are advised of their rights to file a complaint form.

Fair Housing is also discussed during Guam's annual Affordable Housing Symposium. This event involves a variety of government and other public and private organizations to include the Guam Realtors Association.

Guam will continue to address the impediments to Fair Housing as it prepares for its upcoming 5-Year Consolidated Plan Program Years 2015-2019.

The following actions indicate how Guam will address each impediment identified in the 2011 Analysis of Impediments to Fair Housing.

IMPEDIMENT ONE: DIFFICULTY ENFORCING FAIR HOUSING LAWS DUE TO GUAM'S LANDLORD AND TENANT CODE

GHURA will take leadership in coordinating the efforts to revise Guam's landlord and tenant code by 2016. GHURA will develop a work plan and timeline for completing this task. Measuring the effectiveness of the work plan will be included in the Fair Housing component in Guam's upcoming renewal of the Consolidated Plan.

IMPEDIMENT TWO: GUAM'S FAIR HOUSING LAW NOT SUBSTANTIALLY EQUIVALENT TO FEDERAL FAIR HOUSING LAW

Keeping in line with the action steps for Impediment One, GHURA will maintain its leadership role in coordinating the efforts to revise Guam's landlord and tenant code to closely mirror the Federal Fair Housing Law. These steps will be incorporated into the work plan and timeline and will be assessed for its effectiveness and be included in the upcoming renewal of the Consolidated Plan.

IMPEDIMENT THREE: THE LACK OF A FAIR HOUSING ENFORCEMENT ORGANIZATION ON GUAM

GHURA will take the initiative in identifying the most appropriate format for a QFHEO and assist in its development and certification. GHURA will develop a work plan and time schedule to complete the identification of a proper QFHEO for Guam. The plan's effectiveness will be measured and incorporated into the Fair Housing component of the upcoming renewal of the Consolidated Plan.

IMPEDIMENT FOUR: THE PUBLIC DOES NOT UNDERSTAND THE COMPLAINTS SYSTEM

GHURA will request to move the complaints information responsibility from GHURA to HUD's office on Guam. Second request was to streamline the handling of complaints at the Attorney General's Office. Examples of streamlining include trainings or signage for better visibility of the complaints process for staff and the public, treating fair housing complaints as a separate process from consumer protection complaints, and detailed record keeping for housing discrimination cases. Finally, the most common requests were for increased publicity on the complaints system so the public can become familiar and comfortable with the process.

IMPEDIMENT FIVE: DIFFICULTY UNDERSTANDING FAIR HOUSING LAWS, RIGHTS AND RESOURCES

To further their efforts of taking lead in providing fair housing education, outreach and training, GHURA will continue to sponsor an annual fair housing conference which will extend the invitation for HUD representatives to provide training. Second, GHURA will continue to create and distribute collateral material for fair housing education, outreach and training and will maintain records of materials that are produced and distributed. Lastly, GHURA will continue to provide translated versions of education, outreach, and training materials as needed. Public housing contracts, eviction notices, and rules and regulations will be translated as well. Guam's diverse population will require translations from English to Japanese, Tagalog, Ilocano, Chuukese, Marshallese, and Palauan languages.

4. Describe Other Actions in Strategic Plan or Action Plan taken to address obstacles to meeting underserved needs.

Guam has a variety of social service programs aimed at addressing and assisting the underserved. Many of these programs provide services such as case management, education, job and life skills training, outreach, and other services targeted towards eliminating poverty. The ultimate goal for these service providers is to equip individuals and families with the necessary tools to achieve greater self-sufficiency and maintain housing stability.

Guam has taken on several methods to address obstacles in meeting the underserved to include the buildup of affordable housing unit as well as converting abandoned homes to affordable rental housing. Projects addressing affordable housing include:

1) *New Neighborhood Development (As Atdas)*

Home funds will be used to design and construct a mixed-use, mixed-income affordable housing development in northern Dededo. GHURA will partner with the Guam Housing Corporation (GHC) to develop a 33-acre parcel of government-owned property as affordable rental housing and as for-purchase low and moderate income housing. CDBG funds will also be utilized for this project to develop and construct a community center and infrastructure.

2) *Sagan Linahyan Rental Rehabilitation*

CDBG funds are currently being utilized to rehabilitate ten (10) abandoned homes in the northern neighborhood of Sagan Linahyan in Dededo. Once rehabilitated, these homes will be converted to affordable rental housing under ownership and management by the GHC.

3) *Staff Housing Rental Rehabilitation (Renaissance Homes)*

CDBG funds are currently being utilized to rehabilitate ten (10) abandoned homes (5 homes in Talofofo and 5 homes in Merizo). After rehabilitation, GHURA will place the units back in service as affordable rental housing for eligible and qualified low and moderate income individuals or families.

To ensure families remain in their homes, Guam provides support by funding programs who conduct outreach in underserved neighborhoods such as Sagan Linahyan in Dededo, Pagachao in Agat, and Gil-Baza in Yigo (two sites in northern Guam and one site in southern Guam). CDBG funds are currently being utilized to provide operations support of the Nurturing Neighborhood Networks (3N) a program of the Community Services and Resources, Inc., a non-profit organization. This project will provide Community-Based Family Education and Youth Development to low-moderate income residents. These programs are aimed at supporting healthy communities and self-sustaining families through programs like Strengthening Island Families and Youth Mentoring.

Some homeowners on Guam face dilapidating conditions of their homes. Guam addresses this issue by providing low-interest loans or deferred loans through HOME funds to assist low and very-low income homeowners to rehabilitate their homes and bring their primary residence to local building code standards. This project is called the Homeowner Rehabilitation Loan Program.

Guam also provides several homeless services through a variety of homeless programs under the Supportive Housing Program and Shelter Plus Care Program, funds provided under HUD's Continuum of Care (CoC) Homeless Assistance Grants. These programs help individuals and families continue to work towards greater independence with the end goal of obtaining and maintaining housing stability. Programs include:

- 1) ***Lighthouse Recovery Center's (LRC) Aftercare Housing Program***
Managed by The Salvation Army (TSA) and funded under the Shelter Plus Care, this program has 17 tenant-based rental vouchers that are available to homeless clients who graduate from the LRC's six-month residential treatment program. Clients in this supportive housing program are provided continued supportive services.
- 2) ***CARIDAD Supportive Services Only***
Managed by the Catholic Social Services (CSS), this program provides four, one-bedroom apartment units to homeless disabled individuals. This program provides supportive services and is funded under the Supportive Housing Program.
- 3) ***Guma' Hinemlo***
This seven bed permanent housing facility is funded through the Supportive Housing Program. This permanent housing facility provides supportive services to homeless individuals with severe mental illness.
- 4) ***HMIS (Homeless Management Information System)***
This data management system tracks the progress of and services provided to homeless persons on Guam through the various homeless providers on island. Funded through the Supportive Housing Program, the HMIS generates HUD mandated reports as well as customized reports requested by GHURA, the Guam's Continuum of Care, and policy makers, as needed.
- 5) ***Housing First Rental Assistance Program***

This program is funded through the Shelter Plus Care. The program can provide up to 16 tenant-based rental vouchers for homeless adults with disabilities and their families. Supportive services are provided through the Department of Mental Health and Substance Abuse and are recorded as in-kind service match to fulfill S+C's matching requirements.

6) ***Oasis Empowerment Center***

This 6-bed facility is funded through the Supportive Housing Program; it provides residential transitional housing for single women in recovery from substance abuse.

7) ***Sagan Ayudan Maisa (Oasis Sobriety House)***

Managed by the Oasis Empowerment Center, this program provides four bed units to homeless women who have completed their six-month residential treatment program. Clients are provided supportive services such as access to mainstream services and case management.

8) ***LIHENG Transitional Housing Case Management (THCM)***

Managed by the Catholic Social Services (CSS), this program provides a total of thirty (30) units for homeless individuals and families who come from the Guma' San Jose Emergency Shelter and those directly from the streets. CSS staff provide case management services and other supportive services to assist each household moves towards obtaining and maintaining housing stability and greater self-sufficiency. This grant, however, will expire on October 30, 2012 and will not be renewed during this upcoming CoC Program grant application cycle.

Guam also anticipates the start of two new HUD funded homeless assistance programs. The following programs will add a total of eight (8) permanent supportive housing beds which will be available for the chronically homeless.

1) ***Forrester's Refuge***

This program was recently awarded to Guam last year through the Supportive Housing Program. This four bedroom group home will provide permanent supportive housing to homeless dual diagnosed male individuals who will receive continued supportive services.

2) ***Empowered Together***

Managed by the Oasis Empowerment Center, this program was also newly awarded last year. This four unit permanent supportive housing program is funded under the Supportive Housing Program and will provide supportive housing to chronic homeless females and their children who complete the six-month residential transitional treatment program and have no permanent housing after graduation. Clients will receive continued supportive services while receiving housing.

Other programs servicing homeless individuals and families who do not receive CoC funds include:

1) ***Guma San Jose***

Managed under the Catholic Social Services (CSS), this program provides emergency shelter to individuals and families who are homeless and need immediate housing. Occupants are provided supportive services to help secure mainstream services and permanent housing. CSS provides a variety of services to the elderly, disabled and those at-risk for becoming homeless. This program receives operational funds from the Department of Public Health & Social Services (DPHSS).

2) ***Alee Shelter & Catherine's Home***

This program is managed by the Catholic Social Services and provides emergency shelter to victims of domestic violence and their families. This program receives operational funding from the DPHSS.

3) ***Sanctuary Inc.***

This program provides an emergency shelter and transitional housing to homeless, troubled and at-risk youth on Guam. A variety of supportive services such as substance abuse treatment and parenting are provided to program participants.

4) ***Family Services Center (FSC)/One Stop Homeless Assistance Center***

Under the management of The Salvation Army, this program assists individuals and families who are at-risk or are currently experiencing homelessness. The Center provides rental and utility assistance through funding from the former Homeless Prevention and Rapid Re-Housing Program (HPRP), and Emergency Shelter/Solutions Grant. The FSC anticipates the start of the new Emergency Solutions Grant, a program similar to the HPRP, which provides short or medium-term rental and utility assistance to persons experiencing homelessness and those who will end up homeless if assistance is not provided.

5. Leveraging Resources

a. Identify progress in obtaining "other" public and private resources to address needs.

All projects funded with CPD and CoC grants are assessed for their ability to operate proposed programs during the grant workshop conducted mid-way through the appointed application solicitation period, during the CoC application strategic planning committee meetings, and at any time technical assistance is sought during the development of a proposed project.

Other than Community Planning and Development and Continuum of Care Homeless Assistance Grant funds, Guam relies on governmental funds which also provide support to projects named in this CAPER. The Department of Mental Health and Substance Abuse (DMHSA), for example, provides funding support to programs such as the Lighthouse Recovery Center, Sanctuary Inc., and Oasis Empowerment Center. Other programs receiving governmental funds include the Alee Shelter, Catherine's Home and Guma San Jose; these programs receive operational support from the Department of Public Health and Social Services (DPHSS).

Programs such as the Family Services Center, Catholic Social Services, and OASIS also receive funds from the Emergency Food and Shelter Program, FEMA, to purchase food for the needy and provide rental and utility assistance to the homeless and those at-risk for homelessness.

Additionally, many of the non-profit organizations identified above continue to raise funds through various fundraisers and through community donations to help support their programs.

b. How Federal resources from HUD leveraged other public and private resources.

All projects funded with CPD and CoC grants are assessed during the grant workshop conducted mid-way through the appointed application solicitation period, during the CoC application strategic planning committee meetings, and at any time technical assistance is sought during the development of a proposed project for their ability to carry out the project successfully.

Public Service Activities

1) *The Salvation Army Family Services Center/One Stop Homeless Assistance Center*

This program currently provides emergency food, rental and utility assistance through FEMA funds. Additionally, the agency receives funding support through various foundation grants, Thrift Store allocation, and fundraisers. Additionally, this program receives in-kind donations such as food to supplement funding received from FEMA. The Bank of Hawaii also conducts weekly money management classes for clients applying for financial assistance.

2) *The Salvation Army Lighthouse Recovery Center*

This program receives monetary support from the DMHSA to provide residential treatment and outpatient services to adult males with substance abuse issues. Funds from foundation grants, Thrift Store allocation, and fundraisers also provide additional support. The DMHSA and the Pacific Behavioral Health Clinic provide psychiatric assessments, follow-up treatment and medication management. The DPHSS continue to provide tuberculosis testing and HIV/AIDS testing to clients receiving treatment through the LRC.

3) *Oasis Empowerment Center*

This program also receives funding from the DMHSA to provide residential treatment and outpatient services to adult females with substance abuse issues. Additionally, this program receives funds from the DHPSS's Rape Prevention Grant.

c. How matching requirements were satisfied.

As an insular area, Guam is waived from any matching requirements. CDBG funds, however, were utilized during this reporting period as match funds for two CoC programs providing homeless services.

1) ***HMIS***

The HMIS received a total of \$28,000 of CDBG funds to provide for the 25% cash match required for programs receiving operating funds. CDBG funds provided match for two HMIS grants – HMIS and HMIS Development. In total these two grants provide approximately \$118,000 in SHP funds.

2) ***Guma' Hinemlo***

CDBG provided \$56,000 to suffice the cash match requirement for this program. In total, this program receives \$313,363 in SHP funds.

Other CoC funded programs are discussed below.

Supportive Housing Program (SHP)

1) ***CARIDAD Permanent Supportive Housing (PSH) Program***

The CSS provides cash match for this program. The cash match is used to supplement funding for case management, employment assistance, life skills and outreach services provided to homeless adults with disabilities who reside in the apartment units.

2) ***OASIS Empowerment Center***

ELIM Pacific Ministries coordinates and provides the cash match requirement for this program.

3) ***LIHENG Transitional Housing Case Management (THCM)***

CSS coordinates and provides the cash match for this program. The cash match is used to supplement funding for case management, job assistance and operations costs for the THCM program. This grant, however, will end on October 31, 2012 and will not be renewed for the upcoming 2012 CoC Program Grant.

Shelter Plus Care (S+C)

4) ***Lighthouse Recovery Center's (LRC) Aftercare Housing Program (AHP)***

TSA coordinates and provides the service match requirement for this program. The service match consisted of providing counseling and aftercare services to support a drug-free lifestyle and address relapse concerns, case management, vocational counseling, education, budgeting, personal hygiene, housekeeping, medication monitoring, and other services relevant to the continued recovery of the client.

5) ***Housing First Voucher Program (HFVP)***

This program is administered by GHURA's Community Planning and Development Division in partnership with the DMHSA who provides supportive services to individuals with disabilities. DMHSA is responsible for providing the documentation to support the service match requirement.

Managing the Process

1. Describe actions taken during the last year to ensure compliance with program and comprehensive planning requirements.

The Government of Guam, as the participating jurisdiction, receives CPD funds. These funds are administered by the Guam Housing and Urban Renewal Authority (GHURA) who have been designated by the Governor of Guam who is the Chief Executive of the Government of Guam. Under CPD, Guam receives three formula grants funds – the Community Development Block Grant (CDBG), HOME Investment Partnership Grant (HOME), and the Emergency Shelter/Solutions Grant (ESG). GHURA has also been designated to manage HUD's Continuum of Care grants – the Supportive Housing Program (SHP) and Shelter Plus Care Program (S+C).

During the application process, GHURA staff provides technical training to interested applicants. Applicants comprise of local organizations, public and private. All potential applicants are advised of the Consolidated Plan (ConPlan) 2010-2014 priorities. The ConPlan includes objectives and activities for addressing Guam's needs to support the low-income populations, special needs populations, affordable housing objectives, fair housing and the sustainability of existing communities. Additionally, all proposal ideas are discussed with the applicant to ensure projects meet national objectives and are within Code of Federal Regulations. Projects are again screened during the selection process for compliance with national objectives, program objectives and the ConPlan.

Applications for the use of CPD funds for Program Year 2011 were made available on February 23, 2011. A workshop was made available to interested applicants on March 25, 2011 at GHURA's main conference room. Application deadline was on April 25, 2011. Evaluations were then conducted on May and June 2011.

GHURA initiated a thirty (30) day comment period which ended on August 3, 2011. A notice was published on two occasions on local newspapers and was posted on GHURA's website – www.ghura.org. A public hearing was then held on July 19, 2011 which allowed the community to comment on the projects selected for CPD funding. Projects that are selected for funding are included in Guam's Annual Action Plan which is submitted for HUD approval.

SHP and S+C funds are also competitively applied for every year. Guam's CoC's Strategic Planning Committee reviews the progress of each program seeking renewal for funding by utilizing reports such as the Annual Progress Report. Proposal for new programs are also reviewed and assessed based on funding availability, HUD priorities, and local need.

Citizen Participation

1. Provide a summary of citizen comments.

The Consolidated Annual Performance and Evaluation Report (CAPER) was made available to the public for review beginning October 19, 2012. A Public Notice was advertised in Guam's public newspaper, the Mariana's Variety. This notice was published on three separate occasions, October 19, November 5, and November 16. The notice advised the public that the CAPER can be downloaded via GHURA's website, www.ghura.org. The report was also made available at the agency's

main office and the CPD office. A copy of the public notice is attached.

A public meeting was held on November 9th at 10:00 a.m. at the CPD office. All organizations, private and public, were notified via email of the availability of the CAPER for public review, the public meeting for those wishing to provide oral comment, and the last day for public comment. However, there were no attendees. Organizations or persons wishing to provide written public comment were reminded of the deadline, November 19th, via email.

GHURA's Public Housing Property Site Manager provided comments via email to expand on the written description of the actions taken to improve public housing and resident initiatives such as the implementation of the Section 3 program. The comments were inputted in this report. No other written comments were received.

- 2. In addition, the performance report provided to citizens must identify the Federal funds made available for furthering the objectives of the Consolidated Plan. For each formula grant program, the grantee shall identify the total amount of funds available (including estimated program income), the total amount of funds committed during the reporting period, the total amount expended during the reporting period, and the geographic distribution and location of expenditures. Jurisdictions are encouraged to include maps in describing the geographic distribution and location of investment (including areas of minority concentration). The geographic distribution and expenditure requirement may also be satisfied by specifying the census tracts where expenditures were concentrated.**

Guam received HUD Community Planning and Development (CPD) funds in the form of entitlement program (formula) grants. These grants consist of the Community Development Block Grant (CDBG), HOME Investment Partnership Program (HOME), and Emergency Shelter/Solutions Grant (ESG). Guam also received grant funds through the Continuum of Care (CoC) Homeless Assistance Grant consisting of the Supportive Housing Program (SHP) and Shelter Plus Care (S+C) grants. The use of these funds through these five HUD programs is subject for reporting through this CAPER.

Guam was approved for \$4,543,718 for Program Year 2011 in CPD funds. Guam was also given authorization to utilize \$129,000 in Program Income funds and \$2,115,425.39 unspent funds that were reprogrammed from previous program years. These funds were approved to fund eligible projects and activities with formula grant funds, program income, and reprogrammed funds. A total of \$1,124,575 was also approved through the CoC Homeless Assistance Grant for the renewal of eight current programs and for the implementation of two new programs.

The total amount of funds expended during the reporting period is inclusive of all funds expended on projects underway or subsequently completed during the period from October 1, 2011 to September 30, 2012. This figure includes projects approved for funding in prior program years but were ongoing during the PY2011 reporting period. The table below shows the breakdown of authorized funds for and amount expended during PY2011.

For projects benefiting an area, Guam determines the specific service area of the proposed project and the geographical area analyzed, according to the proposed regions served by the facility. Census Service areas and areas of low-and-moderate-income populations are determined from year 2000 statistical data.

Attached to this report is the IDIS report PR03 (see appendices). This report provides detailed information on the location and addresses for all HUD-funded activities currently underway. Census service areas indicating the percentage of low-and-moderate-income populations served is also updated through PY2011 on the PR03 report. The following maps¹ show the location of the completed and ongoing Public Facilities and Improvement projects during PY2011.

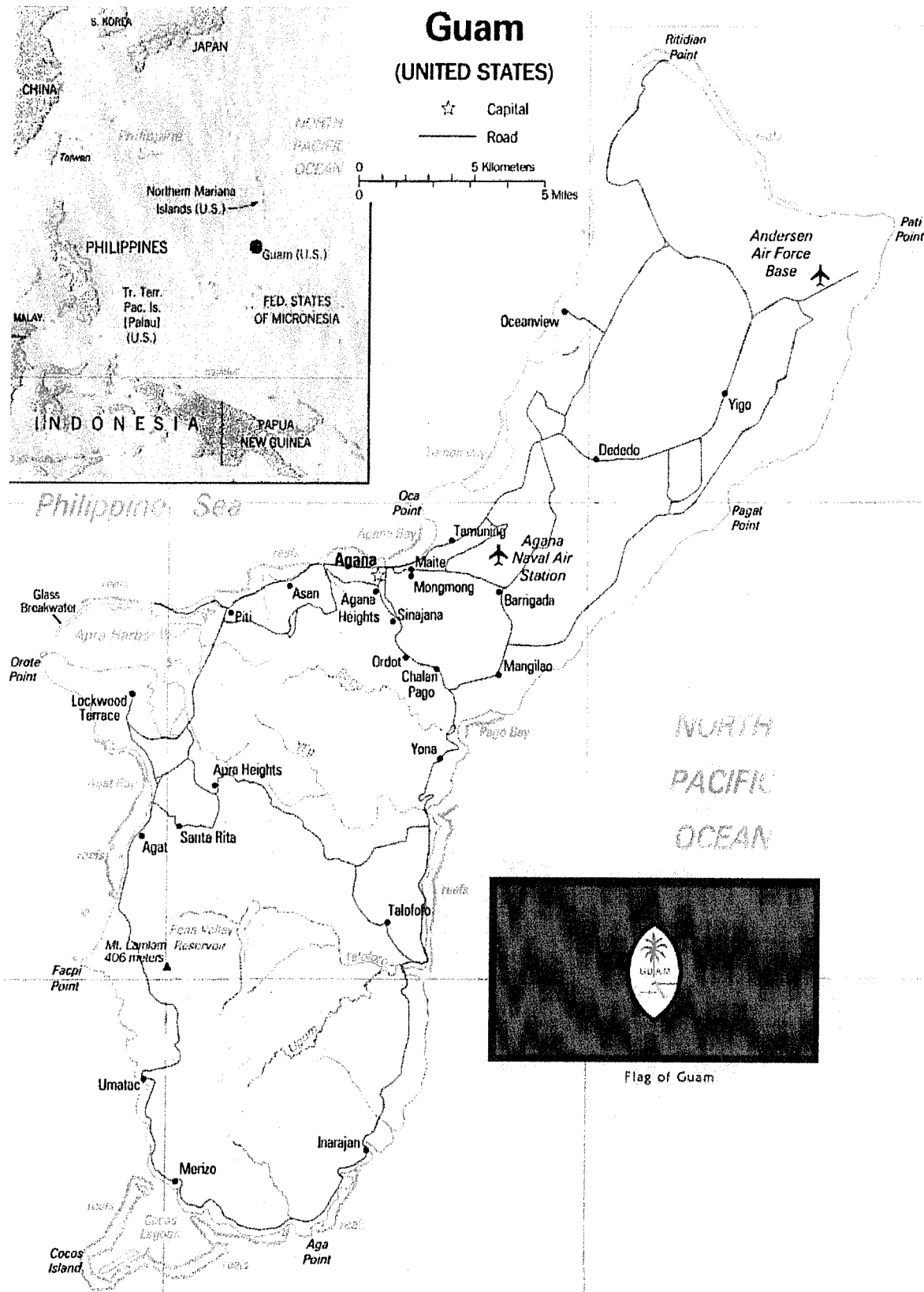
PY11 Authorized funds

CDBG	\$	3,085,838.00
CDBG Program Income (Est.)	\$	42,000.00
CDBG Reprogrammed Funds	\$	1,807,082.82
HOME	\$	1,237,796.00
HOME Program Income (Est.)	\$	87,000.00
HOME Reprogrammed Funds	\$	308,342.57
ESG Grant Award	\$	220,084.00
SHP	\$	784,387.00
S+C	\$	340,188.00
PY11 authorized funds - Total		\$ 7,912,718.39

Total amount expended during PY11

CDBG	\$	3,194,670.00
CDBG Program Income	\$	22,796.14
HOME	\$	839,636.59
HOME Program Income	\$	189,170.37
ESG	\$	78,943.75
SHP	\$	514,282.40
S+C	\$	272,874.52
PY11 funds expended - Total		\$ 5,112,373.77

¹ Source: Guam Map Book, Produced by the Bureau of Statistics and Plans, Office of the Governor, Government of Guam, January 2008.

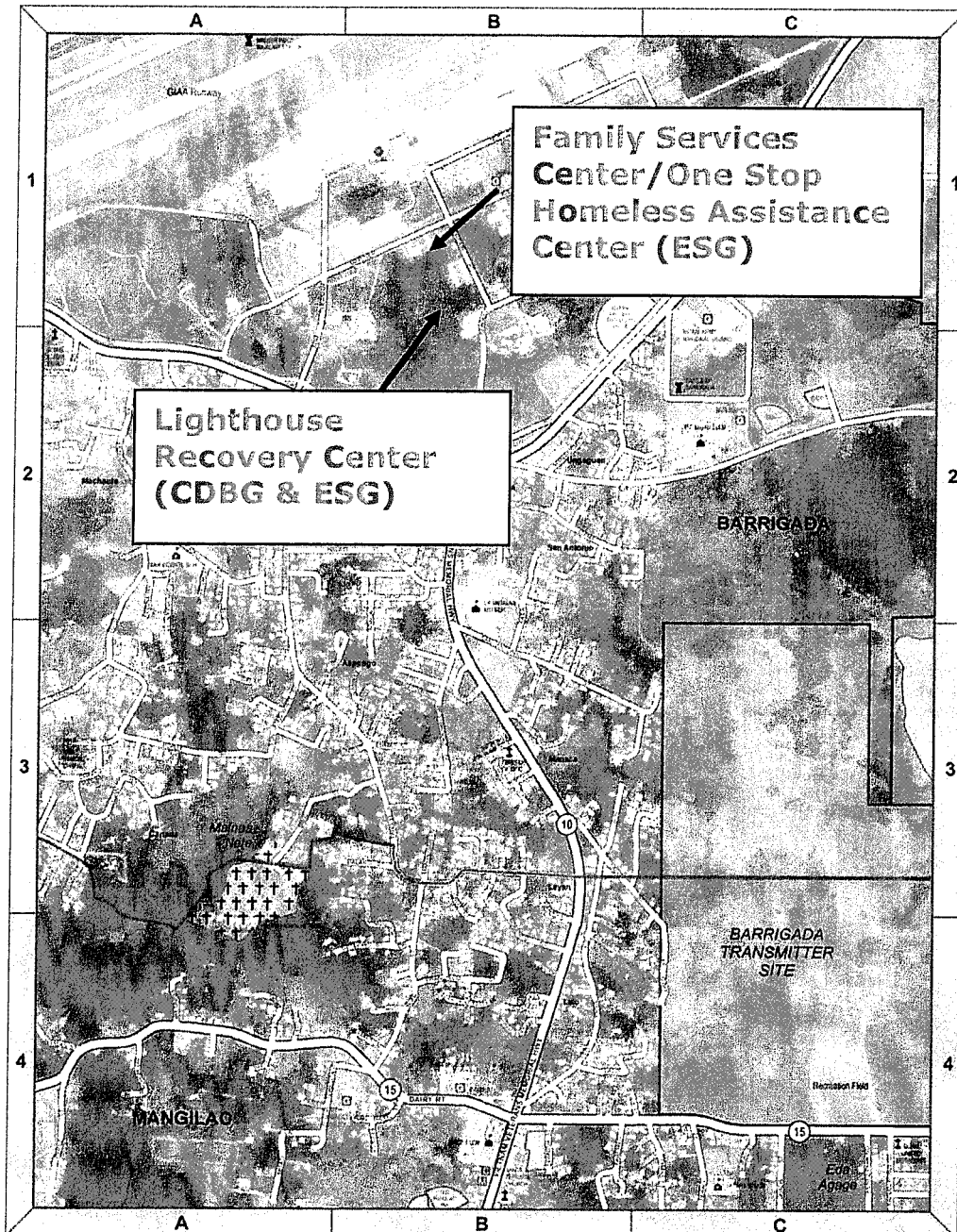


Guam

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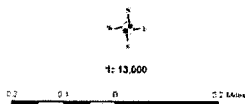
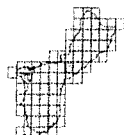
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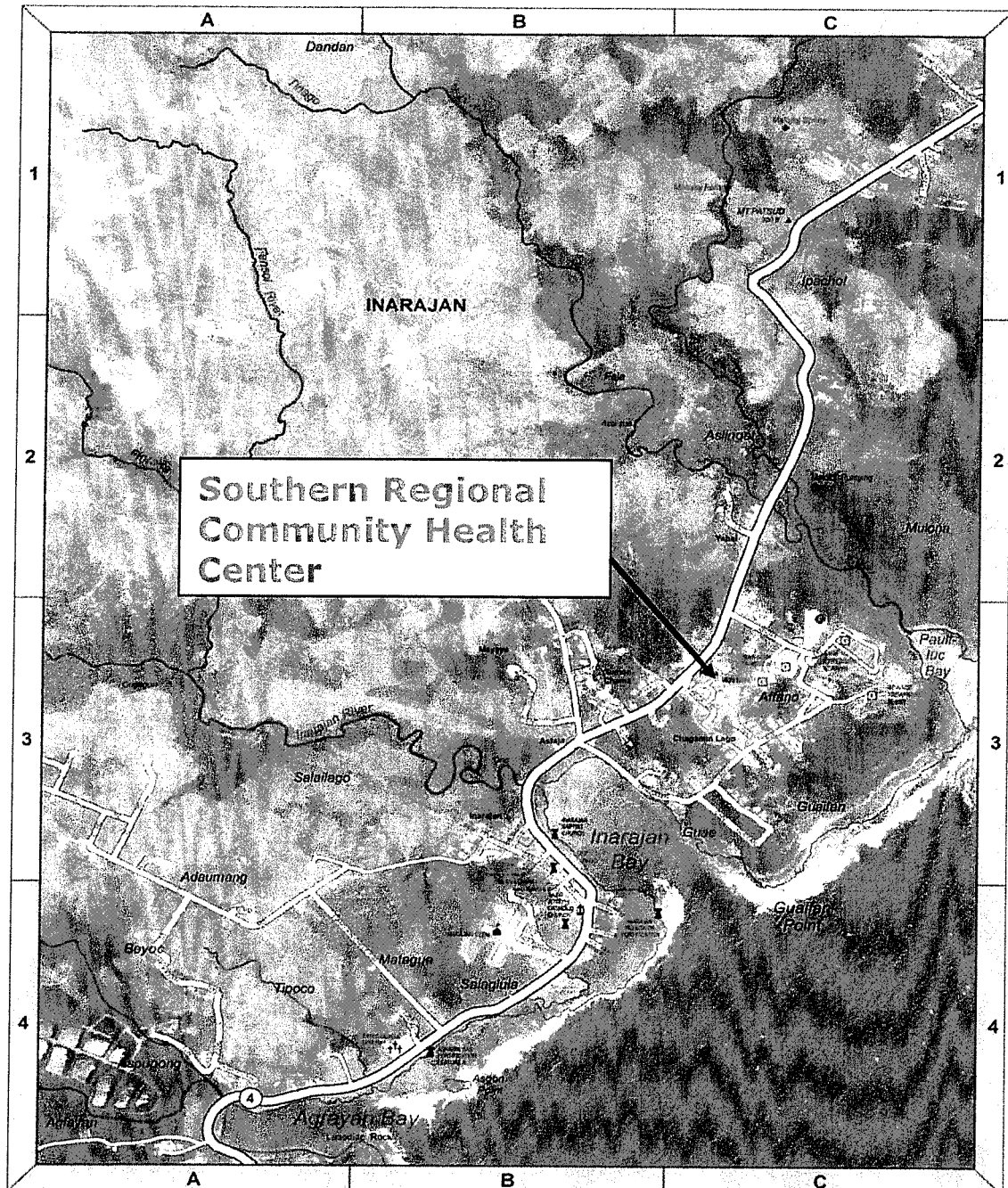


Guam

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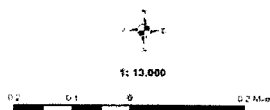
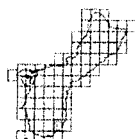
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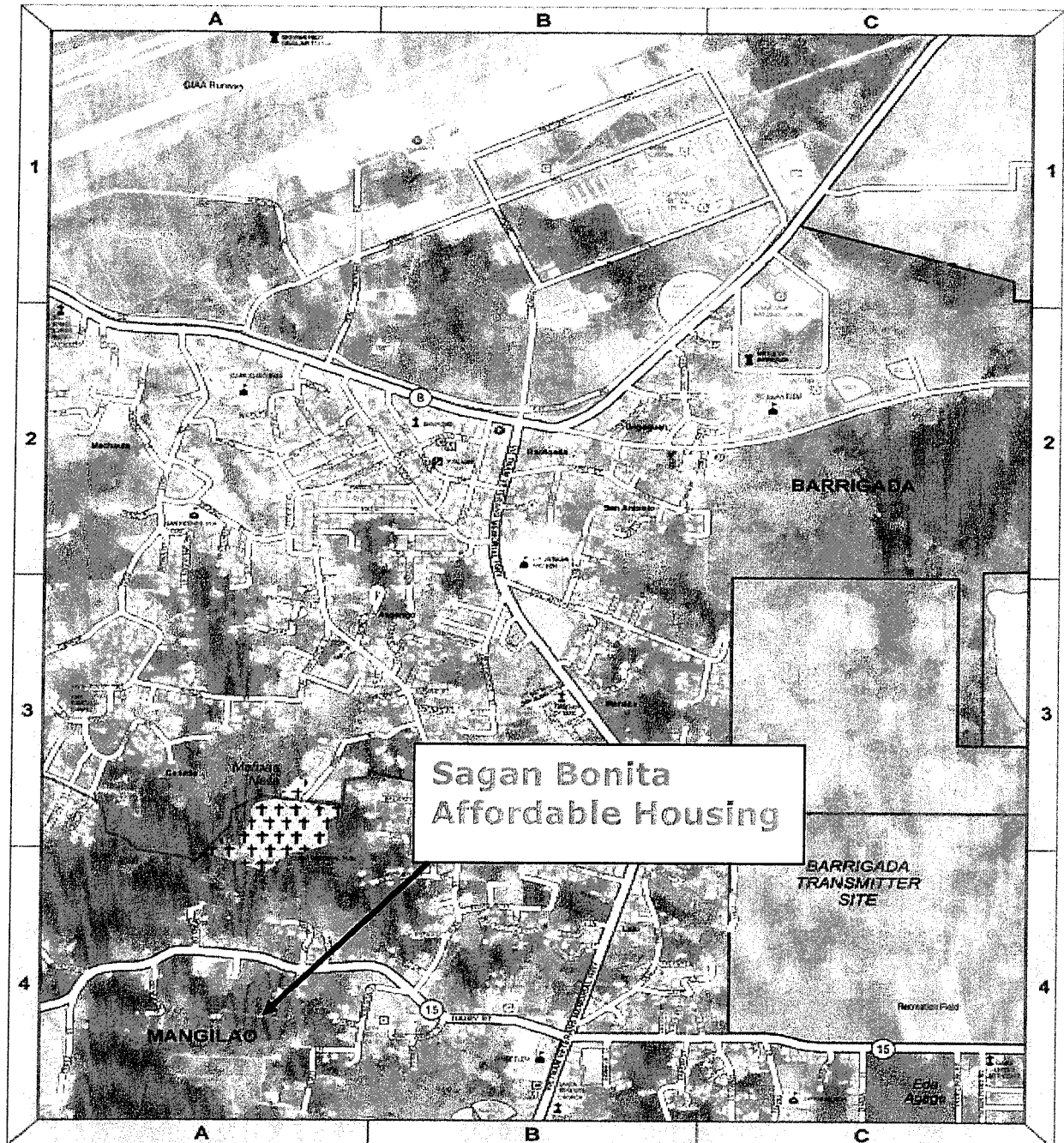


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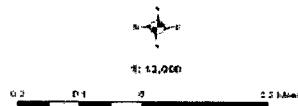
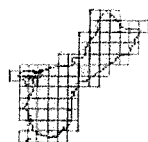
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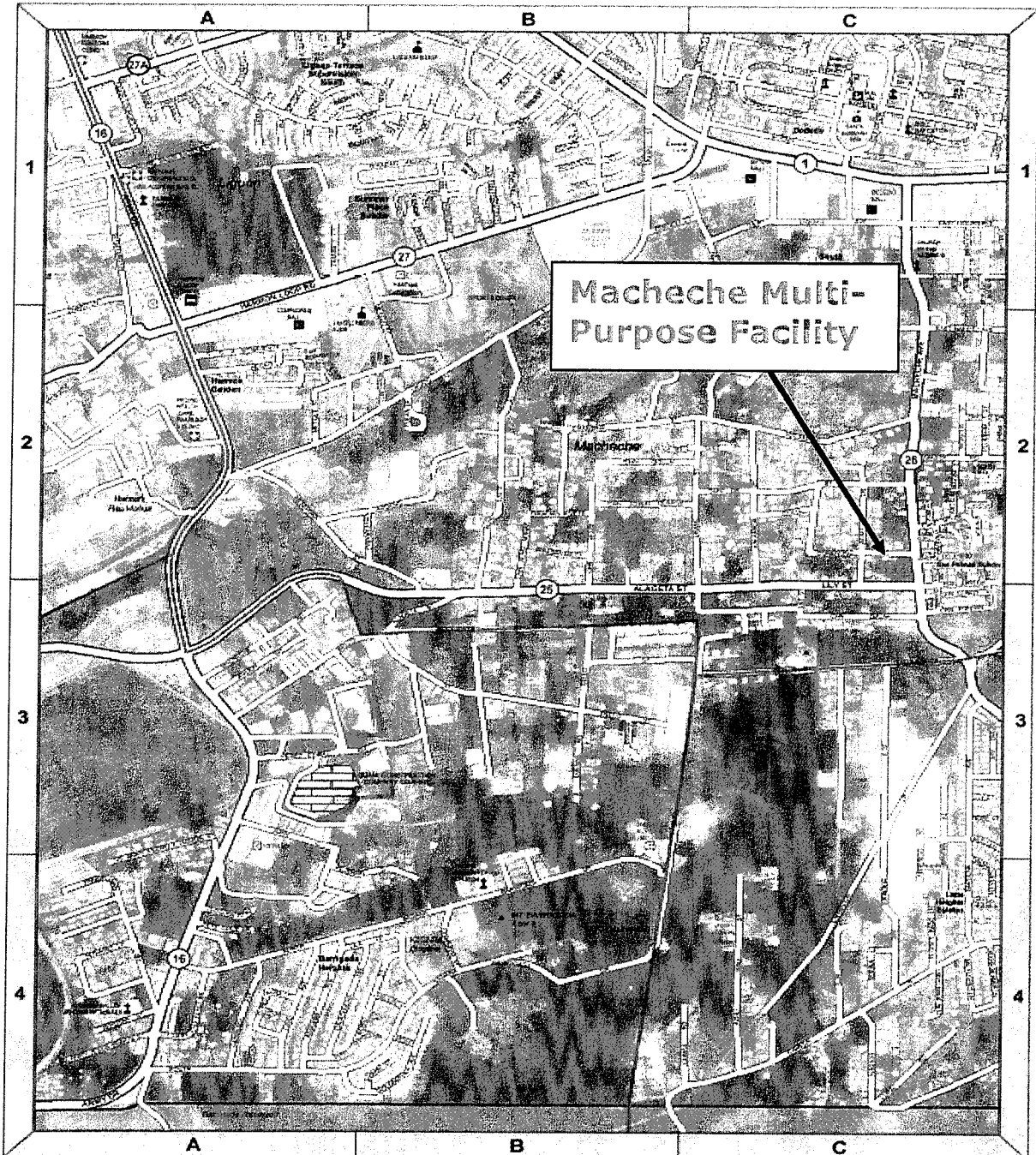


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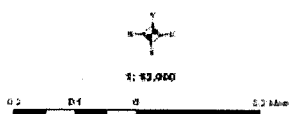
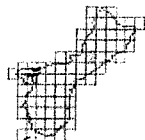
Dededo, Barrigada, Mangilao

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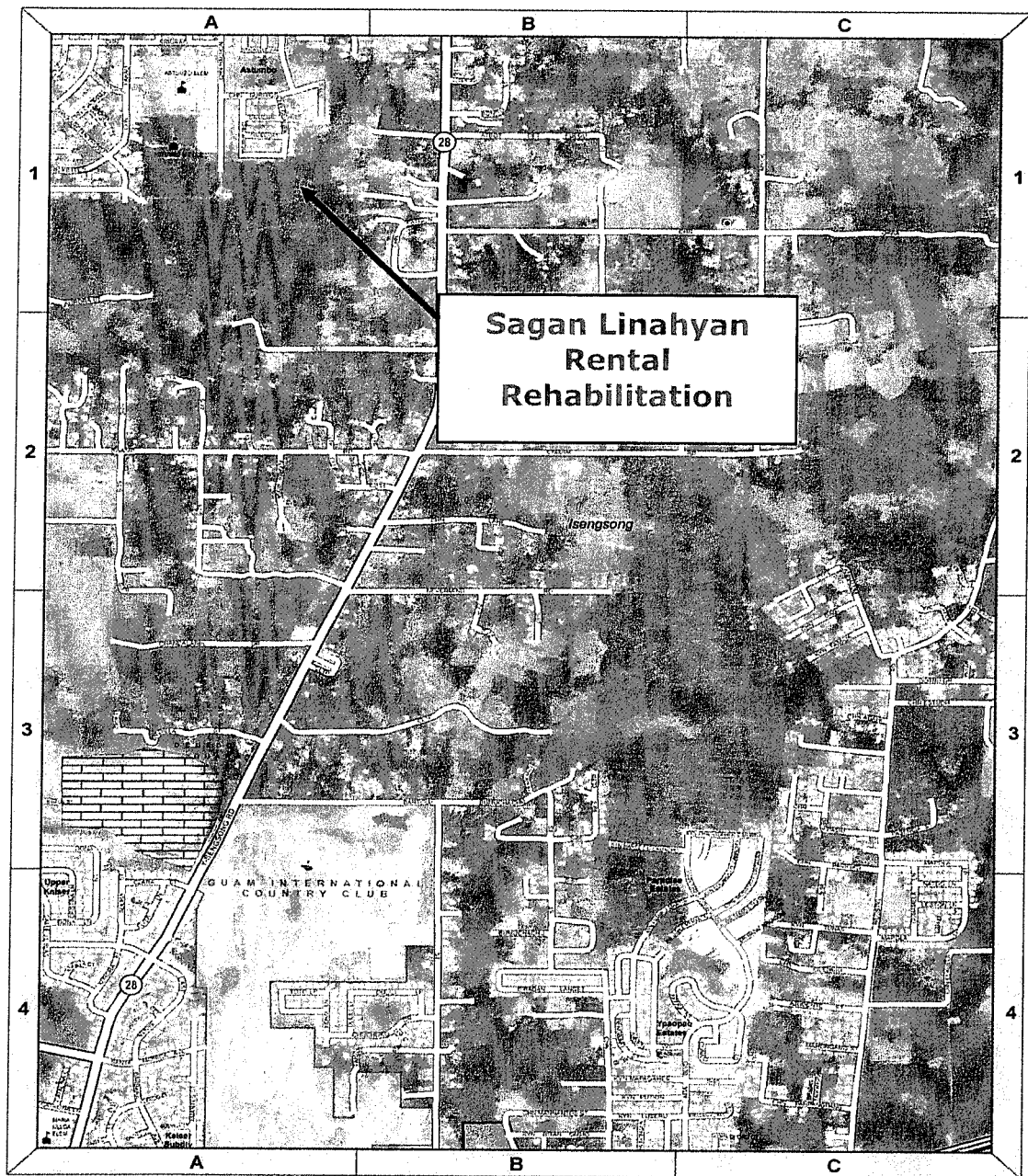
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Guam

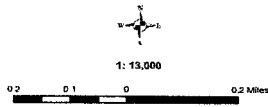
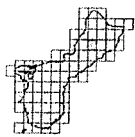
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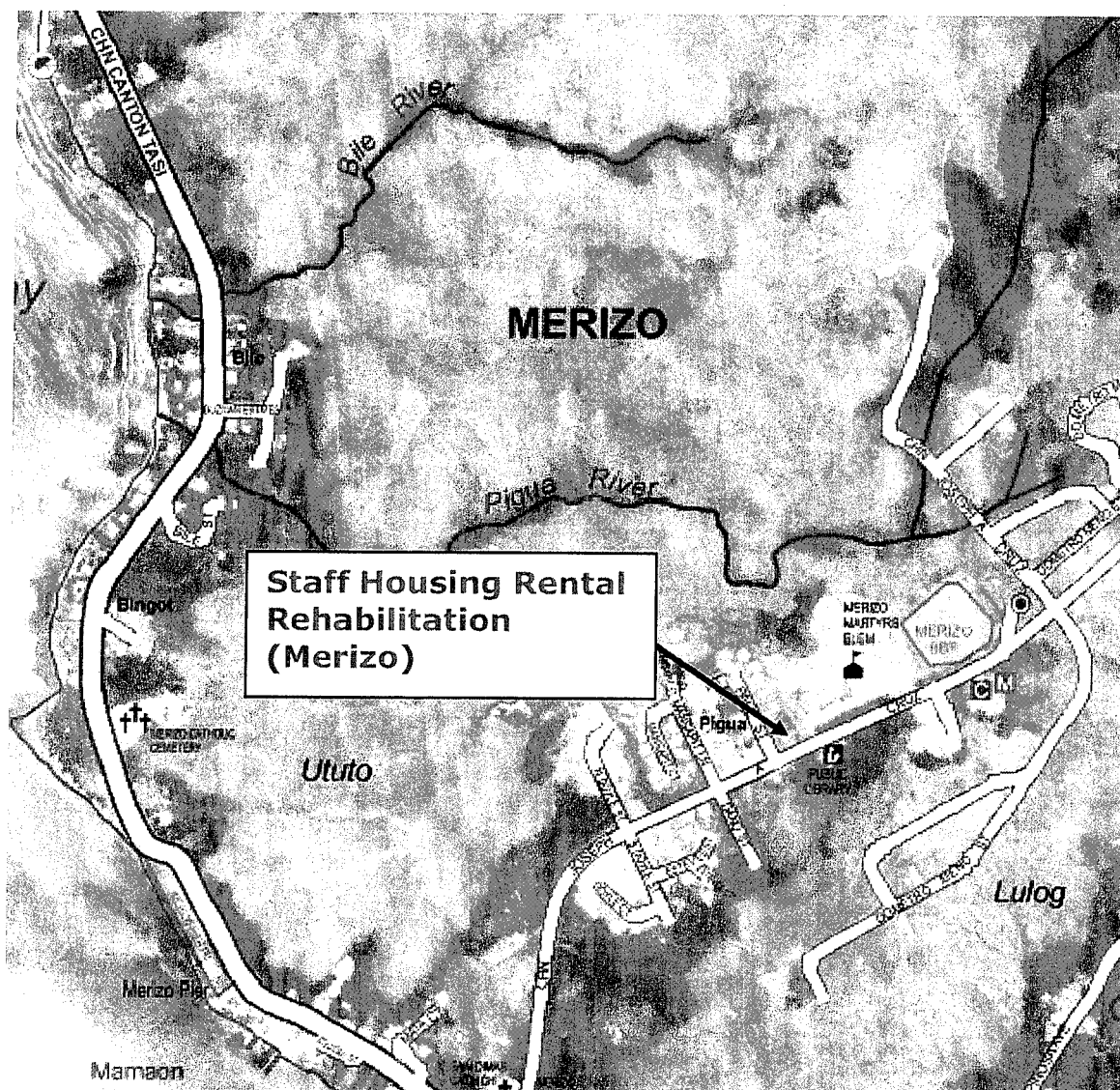
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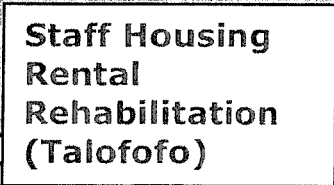


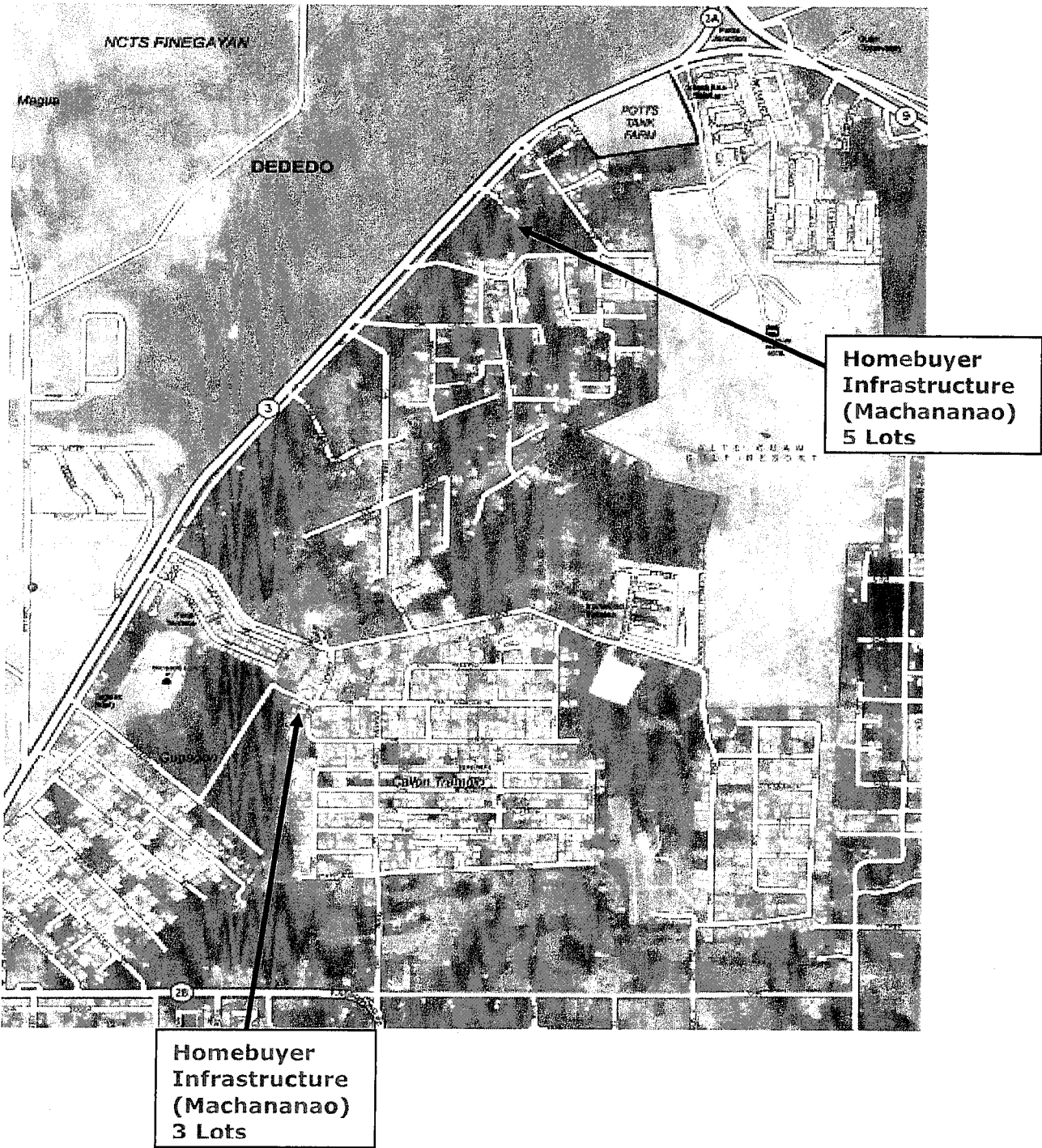
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58	59	60
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Institutional Structure

1. Describe actions taken during the last year to overcome gaps in institutional structures and enhance coordination.

Guam continues to assess its current institutional structures to overcome gaps, enhance coordination, and build new relationships to enhance services to the island community. Increasing internal and external coordination between governmental agencies, private sector businesses and non-profit service providers is critical to the improvement of services to the community and offers proper support to programs that do provide the services. Guam has focused on the following areas to overcome gaps in institutional structures and the enhancement of coordination:

Annual Guam Housing Symposium

Guam conducted its 4th Annual Housing Symposium on June 19-20, 2012. The symposium is aimed at informing housing developers, banking institutions, realtors, architects, engineers, nonprofits providing housing services, governmental officials, and citizens of the efforts currently being made and future plans to address the socioeconomic and environmental impacts of the military buildup and the need for affordable housing.

HMIS Software Conversion

Since the implementation of the HMIS, Guam's CoC had been using the MetSYS data collection software. However, due to the owner of the company retiring, a decision was made by the CoC receiving services to transition to data collection software, Bitfocus, Inc. The new data collection software under Bitfocus, Inc., Clarity, offers a user friendly interface. The user friendly interface makes it easier for end users to enter data and allows for quicker navigation through the program. The new software also requires less training for end users.

Continuum of Care - Guam Homeless Coalition (GHC)

The GHC was successful in establishing its non-profit status on July 22, 2011. By-laws and Articles of Incorporation are in place to help guide the GHC Board of Directors when addressing issues such as selecting board leadership, frequency of board meetings, and conflict of interest. The GHC continues to conduct its monthly coalition meetings that consist of members addressing issues pertaining to mainstream, employment and healthcare. Members also update the CoC on its services, accomplishments, challenges, bed vacancies, and other issues pertaining to their program services for the homeless. Homeless participants continue to be assisted through the internal coordination of members advocating on behalf of the homeless individual/family. The GHC continues to conduct two major outreach events - Point-in-Time homeless count and the annual Passport to Services. Both events require intensive planning and coordination of major governmental, non-profit, and private organizations. These outreach events are aimed at collecting information on the characteristics of the homeless population as well as the distribution of information regarding available homeless and mainstream services.

Fiscal Administration

The CPD Fiscal section has made significant improvement on developing analytical reports to effectively monitor the Public Service and Planning and Administrative Caps for compliance. Effective communication with the planners and the

Architectural and Engineering division was essential in meeting the compliance this program year. Also, additional steps are being taken to improve the oversight of subrecipients. Analytical procedures are performed and simple projections are done to assist the subrecipients in effectively managing their budgets. Regular communication with the subrecipients' Fiscal section has greatly improved the timely submission and processing of requests for reimbursements.

CPD Fiscal section's internal controls in place are assessed continuously as processes are performed. Any deficiencies identified in the current processes are examined and procedures to strengthen its controls are implemented immediately. Policies and procedures are also updated periodically as new controls and processes are implemented.

CPD Fiscal staff attended a relevant seminar on ethics and completed self-study courses on non-profit accounting and government audit updates, which all are relevant in its Fiscal responsibilities and programmatic administration of federal funds.

Installation of an upgrade of the MIP fund accounting software is currently underway for enhanced user and reporting functionality. Installation of a new server and storage is also underway as part of the authority's business continuity plan.

CPD Fiscal section has implemented procedures to carefully monitor disbursements of CPD funds. CPD monitors the disbursements of funds through the use of the main fiscal's WinTen accounting software. Once funds are transferred to the revolving fund account, CPD staff accesses the WinTen accounts payable module daily and verifies if the respective vendor/contractor/subrecipient has been paid. For any unpaid invoices, main Fiscal office is notified and a regular follow-up is conducted to ensure funds are disbursed in a timely manner.

These improvements have shown positively on GHURA's performance as the agency received its first unqualified or "clean" audit in 50 years according to independent auditors, J. Scott Magliari & Company. GHURA's financial statements and compliance reports for FY2011 were audited for five major programs to include Housing Choice Voucher (Section 8), Public Housing Capital Fund, Community Development Block Grant, Low Income Housing Tax Credits, and Compact Impact.

Monitoring

1. Describe how and the frequency with which you monitored your activities.

GHURA's Architect and Engineering (A&E) Division continue to physically monitor the CDBG and HOME-funded construction activities. A&E staff conducts site visits prior, during, and after the completion of a project to ensure strict compliance. These visits ensure construction timelines and quality requirements throughout the construction process are met.

The A&E Division continues to monitor completed CDBG and HOME-funded projects to ensure use of the buildings is in compliance with HUD regulations. These activities continue on an annual basis.

GHURA staff also conducts on-site monitor visits for its SHP and S+C programs. These visits are normally conducted prior to the end of the grant period. Reports of findings and/or concerns are sent to each program and require a plan of action to address the issues identified during the visit.

2. Describe the results of your monitoring including any improvements.

GHURA's Architect and Engineering Division conducted an annual inspection of the Catholic Social Services' (CSS) LIHENG, Elderly and CARIDAD units from June 12 – 21, 2012. These units were acquired or constructed with HOME funds and provide housing to homeless and elderly persons. The inspection noted several health and safety issues. These deficiencies mandated the Catholic Social Services to submit a work plan and schedule to address such findings. GHURA continues to work closely with the CSS to ensure these units are in compliance and continue to be available to persons in need of affordable housing especially with such a high demand for housing assistance.

Additionally, GHURA staff conducts on-site monitoring visits for all its SHP and S+C projects. These monitoring visits ensure programs continue to meet federal regulations to include proper documentation of eligibility, timely submission of invoices for reimbursement, adequate services to program participants, and other program compliance requirements.

GHURA's efforts and diligence in performing monitor visits have led to successful implementations of various programs receiving federal funding. The U.S. Department of Housing and Urban Development's Community Planning and Development Representative held its own on-site monitoring review of the Homeless Prevention and Rapid Re-Housing Program (HPRP) on June 4-7, 2012. Based on the review, Guam was in compliance with the requirements of the HPRP program. The review further indicated that project files were well organized and documentation of HPRP requirements was adequate. This successful review was attributed to GHURA staff periodically monitoring subrecipients who were awarded HPRP funds. The two organizations awarded HPRP funds were The Salvation Army and Catholic Social Services.

3. Self Evaluation

a. Describe the effect programs have in solving neighborhood and community problems.

Guam selects projects based on the identified priorities in the ConPlan. These projects offer a variety services that address neighborhood and community problems. CDBG, ESG, SHP and S+C funds enable organizations to provide services that assist the disabled, those with substance abuse issues, assist homeless persons obtain housing and support, prevent persons from becoming homeless and provide supportive services that enable individuals and families obtain and maintain housing stability and self-sufficiency.

CDBG construction activities provide for the renovation of abandoned buildings which convert to affordable rental housing for low and moderate-income individuals and families. Approximately twenty homes located in the northern and southern area are

being renovated and made available as affordable rental. CDBG funds were also utilized to build a multipurpose center that will provide recreational services and provide social services that promote healthy lifestyles and prevent crime and drug-related activities in the northern community of Guam. CDBG funds also provided for a domestic violence shelter that will house victims and their families. HOME funds allow for the development of new housing that will help address the lack of affordable housing.

b. Describe progress in meeting priority needs and specific objectives and help make community's vision of the future a reality.

All projects are awarded based on ConPlan priorities. These priorities are identified through the assessment of current community needs with guidance and input from governmental, non-profits, and private sector organizations. For each funding year, these organizations submit proposals that address the community need that they can sufficiently provide for. Projects that are awarded funding are monitored annually to ensure buildings are utilized in compliance with national objectives and services are provided to target populations, particularly low and moderate-income individuals and families.

c. Describe how you provided decent housing and a suitable living environment and expanded economic opportunity principally for low and moderate-income persons.

Projects reported for this year's CAPER provide two of the three strategic objectives – decent housing and suitable living environment. For program year 2012, Guam submitted its Annual Action Plan which includes a project that addresses economic opportunity for low and moderate-income persons. For this reporting period, Guam addressed the two strategic objectives by funding the following projects:

Decent Housing

- 1) New Neighborhood Development (As-Atadas Project)
- 2) Homeowner Rehabilitation Loan Program
- 3) Homebuyer Infrastructure (Renewal Affordable Homes)
- 4) Sagan Linahyan Rental Rehabilitation
- 5) Staff Housing Rental Rehabilitation (Renaissance Housing)

Suitable Living Environment

- 1) Nurturing Neighborhood Networks
- 2) Lighthouse Recovery Center
- 3) Guma' Hinemlo
- 4) Homeless Management Information System
- 5) Catherine's Home
- 6) Macheche Neighborhood Multi-Purpose Facility
- 7) Family Services Center/One-stop Homeless Assistance Center

d. Indicate any activities falling behind schedule.

Guam continues to make progress in meeting project deadlines. Project delays often times occur due to unforeseen interruptions. Guam continues to remain diligent in

completing projects at their projected completion date. The following activities are currently falling behind schedule.

1) ***Renovation and Construction of Macheche Neighborhood Multi-Purpose Facility***

The Multi-purpose facility recently held its ribbon cutting ceremony in June 2012. However, due to issues with the facilities water pump the facility could not be occupied and utilized for services. A new pump replaced the existing one and inspection has been scheduled with the Guam Fire Department. Full occupancy will be granted by the end of October 2012.

2) ***Sagan Bonita Affordable Homeownership Program (Homebuyer Activity)***

Forty-three of the fifty-six proposed homes have been completed in the centralized area of Mangilao. Thirteen lots without homes still remain. GHURA is working closely with Micronesian Community Development Corporation (MCDC) to purchase the remaining lots. Issues reported last year to include an inoperable pump station and assessment of a ravine have all been addressed. GHURA is currently addressing a deed transfer from the Government of Guam and recurring costs of power and water transfer station.

e. Describe how activities and strategies made an impact on identified needs.

Projects funded during PY2011, impacted the needs of the community by providing a decent, safe and sanitary housing and suitable living environment. Projects continued to be chosen for funding based on meeting national objectives and ConPlan priorities. National objectives identify target populations to be served. ConPlan priorities identify the needs within the communities.

f. Identify indicators that would best describe the results.

Guam's PR03 for CDBG's Activity Summary Report indicates the number of persons served within a given area or project. These areas have been identified with a population of at least 51% low/moderate income persons. Additionally, this IDIS report indicates the number of persons served during the PY for programs who received CDBG Public Service funds.

g. Identify barriers that had a negative impact on fulfilling the strategies and overall vision.

Any potential barriers are immediately addressed to prevent or minimize project delays. Most projects funded through CPD involve the partnership of a variety of non-profit organizations and other private and public agencies. The success of these projects relies heavily on the working relationship with these organizations. GHURA continues to make itself available for any inquiries and guidance on the development of projects. These communications begin prior to any award of funds to ensure both GHURA and the proposer is aware of the roles and responsibilities of each organization. These guidelines are spelled out in Memorandum of Agreements or Subrecipient Agreements.

h. Identify whether major goals are on target and discuss reasons for those that are not on target.

Projects are identified for funding based on national objectives and ConPlan Priorities/Goals/Activities. Projects funded during PY2011 met ConPlan goals to include making decent housing available and accessible, making decent housing affordable, sustaining the available stock of decent housing, making suitable living environments available and accessible, and sustaining access to suitable living environments serving low-and moderate-income populations and special needs populations.

i. Identify any adjustments or improvements to strategies and activities that might meet your needs more effectively.

GHURA's fiscal department has made significant progress in improving its financial management system to meet the current and future demands in administering federal funds. Analytical reports have been improved to effectively monitor compliance in meeting Public Service, Planning, and Administrative funding caps. Effective communication has also allowed for improved submissions of invoices and processing of reimbursements. The department is also working on upgrading its current fund accounting software for enhanced user and reporting functionality. These improvements will assist the agency in meeting needs more effectively.

Lead-based Paint

1. Describe actions taken during the last year to evaluate and reduce lead-based paint hazards.

Individuals and families provided Housing Choice Vouchers and Shelter Plus Care Housing are provided information on the dangers of lead-based paint prior to their search for rental housing. Educational materials are also provided to GHURA clients of the Homeowner Rehabilitation Loan Program on the dangers of lead-based paint, especially the dangers to children residing in a home contaminated by lead.

Program managers responsible for conducting Housing Quality Inspections also ensure homes are free of lead-based paint. GHURA's Architecture & Engineering staff also ensure new buildings and renovated units are free of lead-based paint.

HOUSING

Housing Needs

1. Describe Actions taken during the last year to foster and maintain affordable housing.

GHURA continues to make progress in developing and maintaining affordable housing for Guam's low to moderate income individuals and families. GHURA immediately took action when the Governor toured some of the island's underprivileged neighborhoods. Witnessing the poor condition of the homes and hearing the

struggles of families prompted the Governor and his Cabinet to develop a 5-Year Strategic Plan to develop affordable housing and improve neighborhood conditions.

The following projects are currently underway to foster and maintain affordable housing.

HOME

1) New Neighborhood Development (As-Atdas Project)

Funds are being utilized to design and construct a mixed-use, mixed-income affordable housing development in northern Dededo. GHURA and the Guam Housing Corporation have partnered to develop a 33-acre parcel of government-owned property as affordable rental housing for elderly individuals and as for-purchase low and moderate income housing.

2) Homeowner Rehabilitation Loan Program

Funds are being utilized to provide low-interest loans or deferred loans to assist low and very-low income homeowners to rehabilitate their homes and bring their primary residence to local building code standards.

CDBG

1) Homebuyer Infrastructure (Renewal Affordable Homes)

Funds for this project will be used for the infrastructure upgrade and improvement to support the New Neighborhood Development in Machananao, Dededo. The new development consists of five single family dwellings being constructed with HOME funds for sale as affordable housing for qualified individuals or families. Infrastructure upgrade include the construction of new sewer manholes required by Guam's Waterwork Agency, the sewer and water line upgrades and the improvement of the undeveloped roadway serving the newly constructed units. A delay in the project was caused by the miscalculation of water flow. Funds were spent to increase the water line size by one foot. Project completion is anticipated by March 2013.

2) Sagan Linahyan Rental Rehabilitation

Ten abandoned homes will be renovated in the northern neighborhood of Sagan Linahyan, Dededo. Once these homes are rehabilitated, the units will be placed back in service as affordable rental housing. The Guam Housing Corporation will oversee the operation and maintenance of these homes.

3) Staff Housing Rental Rehabilitation

A total of ten homes (5 in Merizo and 5 in Talofofo) will be renovated and placed back into service as affordable rental housing for eligible and qualified low and moderate income individuals and families.

4) Nurturing Neighborhood Networks

This program is administered by the Community Services and Resources, Inc. whose mission is to support health communities and self-sustaining families through their Community-Based Family Education and Youth Development programs. These services are provided to low-to-moderate income residents of Pagachao, Agat; Gil-Baza, Yigo, and Sagan Linahyan, Dededo. Residents living in affordable housing such as Sagan Linahyan, for example, are

provided services that are designed to achieve greater self-sufficiency and maintain housing stability.

ESG

The Family Services Center (FSC)/One Stop Homeless Assistance Center provided rental and utility assistance to individuals and families who are homeless or at-risk for becoming homeless. The FSC provided homeless prevention financial assistance and essential services such as case management to 96 persons during PY2011.

S+C

The Housing First Voucher Program managed by GHURA and the Lighthouse Recovery Center's Aftercare Housing Program managed by The Salvation Army are both tenant-based rental assistance (TBRA) programs that assist homeless disabled individuals to attain affordable housing. These two programs provide a total of 32 tenant-based rental assistance vouchers to homeless disabled individuals who require housing with supportive services such as case management, counseling, medication management, etc...

Annual Housing Symposium

Guam continues to conduct the Annual Housing Symposium. It recently held its 4th Annual Housing Symposium on June 19-20, 2012. The symposium is aimed at informing housing developers, banking institutions, realtors, architects, engineers, nonprofits providing housing services, governmental officials, and citizens of the efforts currently being made and future plans to address the socioeconomic and environmental impacts of the military buildup and the need for affordable housing.

Specific Housing Objectives

- 1. Evaluate progress in meeting specific objective of providing affordable housing, including the number of extremely low-income, low-income, and moderate-income renter and owner households comparing actual accomplishments with proposed goals during the reporting period.**

HOME

1) Homeowner Rehabilitation Loan Program

Guam exceeded its proposed goal for this project. Guam proposed in the AAP PY2011 to rehabilitate five homes. For PY2011, GHURA was able to rehabilitate six homes. This program assists very-low and low-income homeowners to sustain the physical and economic life of their homes, to meet current building code standards, and/or to modify to meet ADA standards.

2) New Neighborhood Development (As Atdas Project)

Guam has proposed to construct a total of 150 mixed-use, mixed-income affordable housing in northern Dededo. These units will be built on government-owned property as affordable rental housing for elderly individuals and as for-purchase for low and moderate income housing. CDBG funds are currently being utilized for the infrastructure of this housing development. Infrastructure is anticipated to be completed by March 2013. The 150 units proposed are anticipated for full completion by October 2016.

CDBG

1) Sagan Linahyan Rental Rehabilitation

Guam proposed to rehabilitate ten homes in the Sagan Linahyan subdivision in Dededo. GHURA anticipates rehabilitation of all ten homes by the end of October 2012. These rehabilitated homes will be placed back into service as affordable rental housing.

2) Staff Housing Rental Rehabilitation

Guam proposed to rehabilitate ten homes in Merizo and Talofoto. GHURA anticipates rehabilitation of all ten homes by November 2012. These rehabilitated homes will be placed back into service as affordable rental housing.

S+C

1) Housing First Voucher Program

The Housing First Voucher Program, a TBRA program, provided 16 vouchers which served a total of 16 individuals with disabilities. This program serves the most hard to reach homeless and chronic homeless individuals who otherwise cannot sustain housing without the supportive services. These supportive services are provided by the Department of Mental Health and Substance Abuse; this Government agency is the entity responsible for referring clients for housing assistance.

2) Lighthouse Recovery Center's (LRC) Aftercare Housing Program

The Aftercare Housing Program, a TBRA program, provided 16 vouchers which served a total of 16 individuals with disabilities. This program serves homeless males who have completed the six-month residential treatment program at the LRC and have no other permanent housing identified upon their graduation from the program. This S+C program has modified its program to include homeless females who have graduated from the OASIS Empowerment Center – a six-month transitional residential treatment program similar to that of the LRC.

ESG

Family Services Center (FSC)/One Stop Homeless Assistance Center

The FSC utilized ESG funds to provide rental and utility assistance to individuals and families who are homeless or at-risk for becoming homeless. Essential services were also provided to include case management, counseling, and money management life skills classes. During PY2011, the FSC provided rental and utility assistance and essential services to 96 persons.

2. Evaluate progress in providing affordable housing that meets the Section 215 definition of affordable housing for rental and owner households comparing actual accomplishments with proposed goals during the reporting period.

The *Homeowner Rehabilitation Loan Program* is currently ongoing, assisting homeowners by rehabilitating their homes to meet local building code standards. The program is specific to owner occupied primary units with very-low-to-low-incomes. All homes assisted under this program do not exceed 95% of area median.

The *Sagan Bonita Affordable Homeownership* project complies with Section 215 requirements. The project will provide for a total of 56 affordable single-family dwelling available for homeownership. As of PY2011, Guam completed the construction of 43 homes. Thirteen lots remain without any homes built. GHURA is currently working with the project sponsor to determine whether the remaining homes will be built or if the lots will have to be purchased by the project sponsor.

The *Sagan Linahyan Rental Rehabilitation* and *Staff Housing Rehabilitation* which will rehabilitate twenty homes and place them back into service as affordable rental housing. All homes will be occupied by very-low income families who meet Section 215 requirements of affordable housing for rental households. The renovation of the ten Sagan Linahyan homes will be completed by October 2012. The renovation of the ten Staff Housing units will be completed by November 2012.

3. Describe efforts to address "worst-case" housing needs and housing needs of persons with disabilities.

The CARIDAD Mongmong apartments offer four units to individuals with disabilities who require supportive services. Supportive services are provided by the Catholic Social Services, a non-profit organization that receives funding through the SHP grant.

The Housing First Voucher Program (HFVP) and the Lighthouse Recovery Center's Aftercare Housing Program both provide tenant-based rental assistance to individuals with disabilities. The DMHSA provide supportive services to individuals receiving HFVP assistance. The Salvation Army's Lighthouse Recovery Center provides supportive services to individuals receiving Aftercare Housing assistance.

Guam was recently awarded funding through the CoC Homeless Assistance Grant to provide permanent supportive housing to chronic homeless women and their families. The Empowered Together project is anticipated to begin providing services by January 2013. Funding was also awarded to operate the Forrester's Refuge, a group home that will provide permanent supportive housing to male individuals who are chronically homeless.

Lastly, GHURA continues to provide deferred and zero interest loans to assist low income homeowners to rehabilitate the substandard conditions of their primary home. Homes are renovated to meet local building code standards and ADA standards for homeowners with disabilities.

Public Housing Strategy

1. Describe actions taken during the last year to improve public housing and resident initiatives.

In November 2011, GHURA opened the waiting list for Public Housing and Housing Choice Voucher programs. Approximately 6, 000 applications were received. The application for housing was also posted on GHURA's website without residents having to stand in line for long hours.

Renovations, site improvements, and community service were some of the projects completed at the Asset Management Properties. Through the Section 3 program, residents were given employment opportunities to work with the contractors with job openings. This employment opportunity along with community service requirements gave residents the opportunity to move towards their self-sufficiency goals. The Property Site Managers have been working with the Community Service Resources, Inc. and Department of Youth Affairs to provide projects for our residents and the children in the community. These projects also promote community service and self-sufficiency.

Barriers to Affordable Housing

1. Describe actions taken during the last year to eliminate barriers to affordable housing.

In response to his community tour in some of Guam's impoverished neighborhoods, the Governor of Guam created Executive Order No. 2011-12 which creates the Governor's Affordable Housing Coordinating Council (AHCC). The Council involves key government and non-governmental staff directly or indirectly involved in the development of affordable housing. The purpose of the AHCC is to identify the needs of the community, develop various programs to meet those needs, and accomplish objectives forged in collaboration with the Administration, Legislature, and relevant Affordable Housing Stakeholders.

Guam also identified in its PY2011 AAP to reduce barriers to affordable housing by increasing the number of renters and homeowners among low-and-moderate-income households. Homebuyers and renters alike continue to struggle with impediments to securing affordable housing. Guam is moving forward in creating affordable housing for both renters and homeowners. Additionally, Guam continues to manage various programs that provide TBRA for persons with greater challenges when acquiring and maintaining affordable housing. GHURA's Public Housing continues to implement the Housing Choice Voucher Homeownership Program (HCVHP) which provides qualified tenants of the Housing Choice Voucher Program with a means to accumulate funds for the future use in home purchase and to receive homebuyer education in support of sustaining homeownership.

Guam continues to assess housing purchase and construction activity. Recent reports continue to support the escalation of the average home purchase price. Issues on the forefront of the barriers include Guam's appreciating market for existing homes and developable property island-wide, the increased cost of primary utilities, a lengthy and costly development approval process, and severely limited capacity of existing water and sewer infrastructure in prime development areas on Guam.

Guam also addresses barriers to affordable housing by conducting its Annual Housing Symposium. During this reporting period, Guam conducted its 4th Annual Housing Symposium on June 19-20, 2012. The symposium is aimed at informing housing developers, banking institutions, realtors, architects, engineers, nonprofits providing housing services, governmental officials, and citizens of the efforts currently being made and future plans to address the socioeconomic and environmental impacts of the military buildup and the need for affordable housing.

HOME/ American Dream Down Payment Initiative (ADDI)

1. Assessment of Relationship of HOME Funds to Goals and Objectives

- a. Evaluate progress made toward meeting goals for providing affordable housing using HOME funds, including the number and types of households served.**

The Homeowner Rehabilitation Program continues to provide deferred and zero interest loans to assist low-income homeowners in rehabilitating the substandard conditions of their primary home. Homes are renovated to meet local building code standards. A total of six households served were below 50% of the Area Median Income.

2. HOME Match Report

- a. Use HOME Match Report HUD-40107-A to report on match contributions for the period covered by the Consolidated Plan program year.**

The HOME match requirement was waived for Guam.

3. HOME MBE and WBE Report

- a. Use Part III of HUD Form 40107 to report contracts and subcontracts with Minority Business Enterprises (MBEs) and Women's Business Enterprises (WBEs).**

HOME funds were not utilized to support MBE and WBE enterprises during PY2011. GHURA, however, contracted MBE and WBE enterprises for its CDBG and Public Housing projects. A total of \$1,088,360 of CDBG funds were contracted to MBE and WBEs. Of this amount, \$270,000 was awarded to one contractor that was a Women Business Enterprise.

4. Assessments

- a. Detail results of on-site inspections of rental housing.**

GHURA's Architect and Engineering Division conducted an annual inspection of the Catholic Social Services' (CSS) LIHENG, Elderly and CARIDAD units from June 12 – 21, 2012. These units were acquired or constructed with HOME funds and provide housing to homeless and elderly persons. The inspection noted several health and safety issues. These deficiencies mandated the Catholic Social Services to submit a work plan and schedule to address such findings. GHURA continues to work closely with the CSS to ensure these units are in compliance and continue to be available to persons in need of affordable housing especially with such a high demand for housing assistance.

- b. Describe the HOME jurisdiction's affirmative marketing actions.**

HOME funded programs are marketed through local banks, real estate professionals, private and public agencies. Network with these organizations results in numerous HOME eligible referrals. GHURA also participated in the 2012 Guam Affordable Housing Symposium. GHURA is currently working with the Micronesia Community Development Corporation (MCDC) whose President attended training to become

certified in homeowner counseling. GHURA is currently working with MSHC on the Sagan Bonita Affordable Homeownership program which has already built 43 homes utilizing HOME funds. MSHC is being tasked to provide a marketing platform that will provide outreach to persons who would otherwise not avail themselves of the available affordable housing units if it not for the outreach service.

c. Describe outreach to minority and women owned businesses.

HOME funded program projects follow a regulated procurement process which require requests for quotations, proposals and bid submissions. This process is published for public solicitation through two local newspapers. Contractor listing is maintained for all contractors interested in the Homeowner Rehabilitation program which includes minority and women owned businesses. Listing is provided to homeowners to secure a minimum of three estimates for their project. At homeowner request, contractors from the listing are informed of projects open for bid.

HOMELESS

Homeless Needs

1. Identify actions taken to address needs of homeless persons.

Guam provides a variety of services to homeless persons. Guam took the following actions to address the needs of homeless persons:

Continuum of Care (CoC)/Guam Homeless Coalition (GHC)

The GHC was successful in establishing its non-profit status on July 22, 1011. By-laws and Articles of Incorporation are in place to help guide the GHC Board of Directors when addressing issues such as selecting board leadership, frequency of board meetings, and conflict of interest. The GHC continues to conduct its monthly coalition meetings that consist of members addressing issues pertaining to mainstream, employment and healthcare. Members also update the CoC on its services, accomplishments, challenges, bed vacancies, and other issues pertaining to their program services for the homeless. Homeless participants continue to be assisted through the internal coordination of members advocating on behalf of the homeless individual/family. The GHC continues to conduct two major outreach events - Point-in-Time homeless count and the annual Passport to Services. Both events require intensive planning and coordination of major governmental, non-profit, and private organizations. These outreach events are aimed at collecting information on the characteristics of the homeless population as well as the distribution of information regarding available homeless and mainstream services.

Lighthouse Recovery Center's (LRC) Aftercare Housing Program

Managed by The Salvation Army (TSA) and funded under the Shelter Plus Care, this program provides 17 tenant-based rental vouchers to homeless clients who graduate from the LRC's six-month residential treatment program. Clients in this supportive housing program are provided continued supportive services.

CARIDAD Supportive Services Only

Managed by the Catholic Social Services (CSS), this program provides four, one-bedroom apartment units to homeless disabled individuals. This program provides supportive services to help program participants obtain mainstream services and is funded under the Supportive Housing Program.

Guma' Hinemlo

This seven bed permanent housing facility is funded through the Supportive Housing Program. This permanent housing facility provides supportive services to help program participants with severe mental illness to obtain mainstream services.

HMIS (Homeless Management Information System)

This data management system tracks the progress of and services provided to homeless persons on Guam through the various homeless providers on island. Funded through the Supportive Housing Program, the HMIS generates HUD mandated reports as well as customized reports requested by GHURA, Guam's Continuum of Care, and policy makers, as needed.

Housing First Rental Assistance Program

This program is funded through the Shelter Plus Care. The program can provide up to 16 tenant-based rental vouchers for homeless adults with disabilities and their families. Supportive services are provided through the Department of Mental Health and Substance Abuse which provide in-kind service to meet match requirements of the S+C grant.

Oasis Empowerment Center

This 6-bed facility is funded through the Supportive Housing Program; it provides residential transitional housing for single women in recovery from substance abuse. Program participants receive supportive services to assist them in obtaining permanent housing and achieving greater self-sufficiency.

Sagan Ayudan Maisa (Oasis Sobriety House)

Managed by the Oasis Empowerment Center, this program provides four bed units to homeless women who have completed their six-month residential treatment program. Clients are provided supportive services such as access to mainstream services and case management.

LIHENG Transitional Housing Case Management (THCM)

Managed by the Catholic Social Services, this program provides a total of thirty (30) units for homeless individuals and families who come from the Guma' San Jose Emergency Shelter and those directly from the streets. CSS staff provides case management services and other supportive services to assist each household obtain and maintain housing stability and greater self-sufficiency. This grant, however, will expire on October 30, 2012 and will not be renewed during this upcoming CoC Program grant application cycle.

Guam also anticipates the start of two new HUD funded homeless assistance programs. The following programs will add a total of eight (8) permanent supportive housing beds which will be available for the chronically homeless.

Forrester's Refuge

This program was recently awarded to Guam last year through the Supportive Housing Program. This four bedroom group home will provide permanent supportive housing to homeless dual diagnosed male individuals who will receive continued supportive services.

Empowered Together

Managed by the Oasis Empowerment Center, this program was also newly awarded last year. This four unit permanent supportive housing program is funded under the Supportive Housing Program and will provide supportive housing to chronic homeless females and their children who complete the six-month residential transitional treatment program and have no permanent housing after graduation. Clients will receive continued supportive services while receiving housing.

Other programs that provide services to homeless persons that do not receive CoC funds include:

Guma San Jose

Managed under the Catholic Social Services (CSS), this program provides emergency shelter to individuals and families who are homeless and in need immediate housing. Occupants are provided supportive services to help secure mainstream services and permanent housing. CSS provides a variety of services to the elderly, disabled and those at-risk for becoming homeless.

Alee Shelter & Catherine's Home

These homes provide emergency shelter to victims of domestic violence and their families. During PY2011, this program assisted 8 persons.

Sanctuary Inc.

This program provides a variety of housing and supportive services to homeless, troubled and at-risk youth on Guam. Such programs include their Emergency Shelter and Transitional Living Program.

Family Services Center (FSC)/One Stop Homeless Assistance Center

Under the management of The Salvation Army, this program assists individuals and families who are at-risk or are currently experiencing homelessness. The Center provides rental and utility assistance through funding from the former Homeless Prevention and Rapid Re-Housing Program, Emergency Shelter/Solutions Grant, and Emergency Solutions Grant.

2. Identify actions to help homeless persons make the transition to permanent housing and independent living.

Guam's CoC programs continued to match participants to appropriate housing and services through proper assessment of barriers and assets, especially for families with children to ensure the emotional, physical and behavioral health development needs of a child is met. During their stay in transitional or emergency shelters, participants are provided supportive services such as case management, support to establish savings plan to afford move in cost, access to health care, employment and other public benefits, life skills education, and identifying goals and services to obtain and maintain permanent housing and self-sufficiency through the development of a Service Plan. Programs also conducted follow-up to assess

progress and provide additional support in the event other barriers or potential threat to housing stability arises.

The following programs assisted homeless persons make the transition to permanent housing and independent living:

Homeless Prevention and Rapid Re-Housing Program (HPRP)

The Salvation Army (TSA) and Catholic Social Services (CSS) received American Recovery and Reinvestment Act (ARRA) funds through GHURA. Both organizations have experience in providing homeless prevention and rapid re-housing services. Individuals and families who were experiencing homelessness or were about to be evicted from their homes were also provided housing relocation and stabilization services that included case management, credit repair counseling, and referrals to employment and mainstream services. These supportive services are aimed at assisting program participants achieve greater self-sufficiency and housing stability. Funds were fully expended by June 2012. TSA expended \$635,589.50 and CSS expended \$566,133.50. TSA and CSS assisted a total of 1,624 individuals which represented 400 households.

Lighthouse Recovery Center's (LRC) Aftercare Housing Program

Managed by The Salvation Army (TSA) and funded under the Shelter Plus Care, this program provides 17 tenant-based rental vouchers to homeless clients who graduate from the LRC's six-month residential treatment program. Program participants are provided continued supportive services to help participants achieve greater self-sufficiency and eventually obtain permanent housing outside of SHP funded projects.

CARIDAD Supportive Services Only

Managed by the Catholic Social Services (CSS), this program provides four, one-bedroom apartment units to homeless disabled individuals. This program provides supportive services to help program participants obtain mainstream services and is funded under the Supportive Housing Program.

Guma' Hinemlo

This seven bed permanent housing facility is funded through the Supportive Housing Program. This permanent housing facility provides supportive services to help program participants with severe mental illness achieve greater self-sufficiency.

Housing First Rental Assistance Program

This program is funded through the Shelter Plus Care. The program can provide up to 16 tenant-based rental vouchers for homeless adults with disabilities and their families. Supportive services are provided through the Department of Mental Health and Substance Abuse which serve as in-kind match, a requirement of the S+C grant.

Oasis Empowerment Center

This 6-bed facility is funded through the Supportive Housing Program; it provides residential transitional housing for single women in recovery from substance abuse. While receiving treatment, program participants are provided supportive services to help them achieve greater self-sufficiency and obtain permanent housing. Homeless participants who require permanent supportive housing are referred to the LRC's Aftercare Housing Program.

Sagan Ayudan Maisa (Oasis Sobriety House)

Managed by the Oasis Empowerment Center, this program provides four bed units to homeless women who have completed their six-month residential treatment program. Clients are provided supportive services such as access to mainstream services and case management. This program is funded through the Supportive Housing Program.

LIHENG Transitional Housing Case Management (THCM)

Managed by the Catholic Social Services, this program provides a total of thirty (30) units for homeless individuals and families who come from the Guma' San Jose Emergency Shelter and those directly from the streets. CSS staff provide case management services and other supportive services to assist each household moves towards obtaining and maintaining housing stability and greater self-sufficiency. This Supportive Housing Program grant, however, will expire on October 30, 2012 and will not be renewed during this upcoming CoC Program grant application cycle.

3. Identify new Federal resources obtained from Homeless SuperNOFA.

Guam received continued renewal funding for ongoing SHP and S+C programs. A total of \$1,124,575 funds were received with an additional Housing Bonus of \$110,653. Interested applicants can apply for Housing Bonus funds to develop new permanent supportive housing programs during the upcoming CoC grant cycle. The following is a breakdown of funds awarded for each homeless program:

- 1) Guma' Hinemlo - \$313,363
- 2) Oasis Empowerment - \$125,415
- 3) CARIDAD Supportive Services Program - \$28,224
- 4) Homeless Management Information System (HMIS) - \$79,082
- 5) Aftercare Housing Program - \$171,852
- 6) Housing First Rental Assistance Program - \$161,448
- 7) HMIS Development - \$71,600 (Two-year grant)
- 8) Forrester's Refuge - \$123,000 (New Program)
- 9) Empowered Together - \$123,100 (New Program)

Specific Homeless Prevention Elements

1. Identify actions taken to prevent homelessness.

Guam continued to provide short and medium-term rental and utility assistance to individuals and families experiencing homelessness or was at imminent risk of becoming homeless during PY2011. Guam continued to expend its remaining HPRP funds until all funds were fully expended by June 2012. During the three year grant cycle, the two programs awarded funding, The Salvation Army and Catholic Social Services, assisted a total of 400 households which represented 1,624 individuals. Of the total number of households assisted, 330 households representing 1,398 persons were provided homeless prevention services and 79 households representing 254 persons were provided homeless assistance services.

Guam continued to provide homeless prevention services through the utilization of Emergency Shelter/Solutions Grant funds. The Salvation Army's Family Services Center/One Stop Homeless Assistance Center provided homeless prevention services

to 96 persons during PY2011. Guam will continue the momentum of the HPRP and Shelter Grant by implementing the Emergency Solutions Grant, a program similar to that of the HPRP. Funds will also provide short and medium-term rental and utility assistance to individuals and families homeless or at-risk for becoming homeless.

Emergency Shelter Grants (ESG)

1. Identify actions to address emergency shelter and transitional housing needs of homeless individuals and families (including significant subpopulations such as those living on the streets).

Emergency Shelter/Solutions Grant funds were awarded to The Salvation Army's Lighthouse Recovery Center. Funds provided during PY2011 provided operational support for its residential transitional facility. The LRC provides shelter and supportive services to homeless male individuals recovering from substance abuse. Funding for operational costs include maintenance, occupancy costs, food, furnishings and equipment. LRC provided services to 46 homeless males with substance abuse issues during PY2011.

2. Assessment of Relationship of ESG Funds to Goals and Objectives

a. Evaluate progress made in using ESG funds to address homeless and homeless prevention needs, goals, and specific objectives established in the Consolidated Plan.

Guam utilized ESG funds to provide transitional housing through The Salvation Army's Lighthouse Recovery Center. Guam identified in its ConPlan 2010-2014 that it will provide support for the operations of residential substance abuse treatment and recovery facilities serving homeless individuals. Additionally, Guam indicated that it will support the work of organizations providing housing and supportive services to homeless individuals. Guam fulfilled this goal by funding The Salvation Army's Family Services Center/One-Stop Homeless Assistance Center which utilized ESG funds to provide homeless prevention and essential services to persons who are homeless or at-risk for becoming homeless.

b. Detail how ESG projects are related to implementation of comprehensive homeless planning strategy, including the number and types of individuals and persons in households served with ESG funds.

The ultimate goal for Guam is to eliminate homelessness all together. Guam realizes the dynamics of the homeless population to include those with disabilities and substance abuse issues. The Salvation Army's Lighthouse Recovery Center utilized ESG funds during PY2011 to meet this subpopulation of homelessness. Guam also realizes the need for prevention services which aims to keep individuals and families in their homes or assist persons move to another permanent housing should their current residence not meet their needs. The Salvation Army's Family Services Center/One-Stop Homeless Assistance Center utilized ESG funds during PY2011 to provide homeless prevention services. Both program provided supportive or essential services such as case management, substance abuse treatment counseling, referrals to employment and mainstream services, and credit repair counseling to ensure participants maximize their full potential to achieve greater self-sufficiency and

housing stability. During PY2011, the Family Services Center assisted 96 persons and the Lighthouse Recovery Center assisted 44 persons through the utilization of ESG funds.

3. Matching Resources

- a. Provide specific sources and amounts of new funding used to meet match as required by 42 USC 11375(a)(1), including cash resources, grants, and staff salaries, as well as in-kind contributions such as the value of a building or lease, donated materials, or volunteer time.**

ESG match is waived for Guam. However, the subrecipient awarded ESG funding continues to leverage their programs utilizing other local and federal resources, monetary and in-kind donations and foundation grants. The Salvation Army received funds to support the Family Services Center (FSC) from the Federal Emergency Management Agency (FEMA) Emergency Food and Shelter Program to provide food and rental and utility assistance. The Bank of Hawaii also provides money management training to FSC clients for free. These two-hour courses are conducted twice a month. The Lighthouse Recovery Center (LRC) receives local funds from the Department of Mental Health and Substance Abuse which provides for operational support. The LRC also receives support from the Behavioral Health Clinic which provided psychiatric assessments, follow-up treatment and medications. The Department of Public Health and Social Services also provided tuberculosis testing and HIV/AIDS testing. Additionally, the agency leverages their programs utilizing funds from foundation grants, community contributions (in-kind and monetary) and fundraisers.

4. State Method of Distribution

- a. States must describe their method of distribution and how it rated and selected its local government agencies and private nonprofit organizations acting as subrecipients.**

This does not apply to Guam.

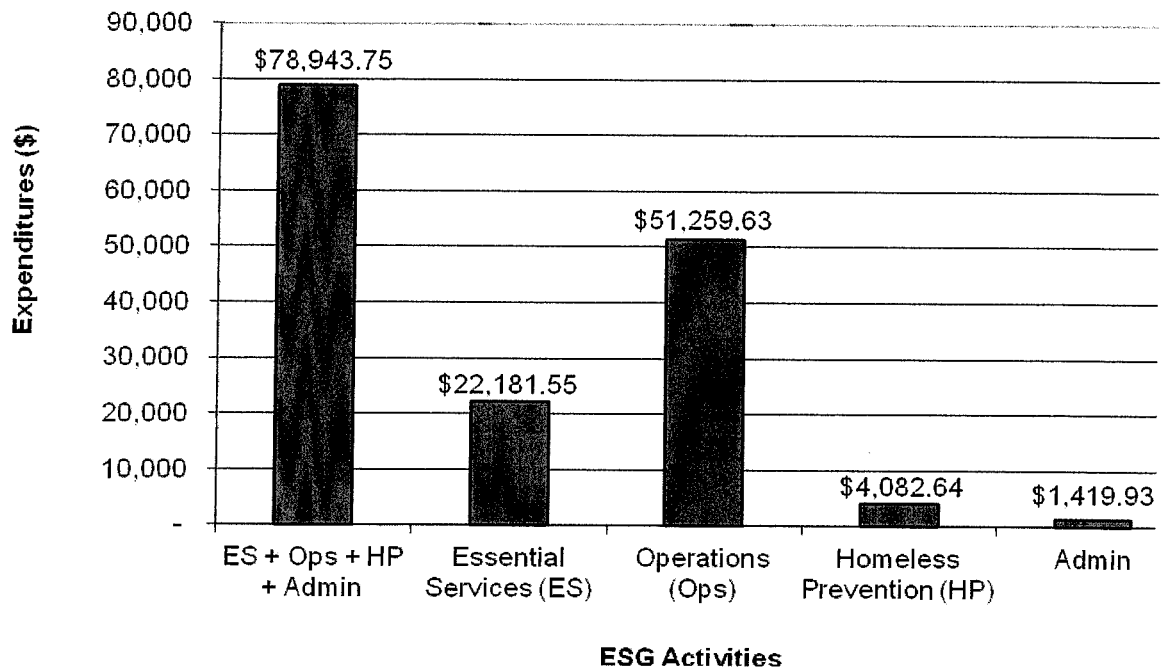
5. Activity and Beneficiary Data

- a. Completion of attached Emergency Shelter Grant Program Performance Chart or other reports showing ESGP expenditures by type of activity. Also describe any problems in collecting, reporting, and evaluating the reliability of this information.**

Prior to the implementation of the mandatory use of HMIS for the Emergency Solutions Grant, programs receiving Emergency Shelter/Solutions Grant funds collected information utilizing their organizations data capturing tool. GHURA as a grantee worked closely with ESG subrecipients to gather information which was reported through IDIS. To address the mandated use of HMIS for the Emergency Solutions Grant, Guam has made progress in preparing ESG subrecipients for the use of HMIS. Although PY2011 ESG subrecipients have not utilized HMIS to input data, both programs, namely The Salvation Army's Lighthouse Recovery Center and Family Services Center, have experience utilizing the data collection tool. GHURA has worked closely with the HMIS to develop data fields to capture the required information mandated by HUD. HMIS is working closely with ESG subrecipients to ensure data reported for this year's CAPER is recorded through the data collection

tool. As required for this year's CAPER, GHURA received statistical information from the two programs awarded ESG funds; the data has been inputted into HUD's Integrated Disbursement and Information System (IDIS) and is attached to this CAPER. In total, ESG funds assisted 142 persons who were homeless or at-risk for becoming homeless. Of the 142 who were assisted, sixty-seven (67%) percent were adults and 33% were children. Additionally, sixty-eight (68%) percent received homeless prevention services and 32% received emergency shelter services.

ESG Expenditures PY11



ESG EXPENDITURES PY11

ES + Ops + HP + Admin	78,943.75
Essential Services (ES)	22,181.55
Operations (Ops)	51,259.63
Homeless Prevention (HP)	4,082.64
Admin	1,419.93

b. Homeless Discharge Coordination

- i. As part of the government developing and implementing a homeless discharge coordination policy, ESG homeless prevention funds may be used to assist very-low income individuals and families at risk of becoming homeless after being released from publicly funded institutions such as health care facilities, foster

care or other youth facilities, or corrections institutions or programs.

Foster Care (Youth Aging Out):

The Bureau of Social Services Administration, Child Protective Services (BOSSA, CPS) administers the Foster Care Program. The goal is to help children reunite with their families. Should reunification not be in the best interest of the children, the Bureau finds homes for the children in relative placements or licensed foster families. However, others may remain in long-term foster care. The Foster Care Program's (FCP) discharge policy prohibits from closing any foster care case unless the young adult has housing in place. The transition plan includes but is not limited to, education, employment and housing. The FCP staff/social worker works closely with organizations that serve youth such as Sanctuary, Inc., Department of Youth Affairs and Office of Public Guardian. Educational programs, employment and job training and placements are also provided to assist the youth towards self-sufficiency. Although Permanency Plan (P.L.20-209:5) was passed that addressed permanency plan and long term guardianship, discussions are ongoing between BOSSA CPS, GICH and GHC to amend the plan that will include and address issues related to housing stability when a child ages out of foster care. GICH and GHC members created Discharge Planning protocols that include housing options to prevent discharge into homelessness.

Health Care:

Guam Memorial Hospital Authority's Social Services Office is the responsible party to assist homeless patients in making appropriate housing arrangements prior to the individuals release from the hospital. Patient's discharge needs shall be assessed at admission and a discharge plan will be developed using a collaborative approach in meeting the patient's needs for discharge into the community. The Social Service Staff must familiarize themselves with all community agencies providing support services. This process will be implemented as follows: All initial discharge assessments will be completed within 8 hours of admission. The Social Service Staff will be responsible for making appropriate referrals and coordinating all follow up care. The social service staff is responsible for collecting and entering the information, reviewing and finalizing the Discharge Plan. The completed Discharge Assessment must be printed, signed and placed in the patient's chart. The Guam Interagency Council and Guam Homeless Coalition members created protocols that include housing options to assist agencies prevent discharge into homelessness.

Mental Health:

The Department of Mental Health and Substance Abuse has established an "Interdepartmental Waiting List Policies and Procedures" which place individuals in need of placement on a wait list until the housing placement is available and secured. At this time, the Department is in the process of addressing all issues and concerns brought about as the result of a permanent injunction filed by consumers. Discharge Planning has been identified as a key point of concern. The Department has been working diligently to address this concern and implement effective policies and procedures, which will have clear and established protocols for all individuals in need of housing placement prior to discharge. The current Wait List policy restricts the Department from releasing individuals who would otherwise be homeless upon

discharge. The Guam Interagency Council and Guam Homeless Coalition members created protocols that include housing options to assist agencies prevent discharge into homelessness.

Corrections:

The Department of Corrections has established a discharge plan to prevent the release of inmates/detainees into homeless situations, including the street or emergency shelters. The following protocol is in place to assist Case Managers effectively transition inmates/detainees back to community living:

1. Correction's Case Managers will begin this Discharge Plan within 1-2 days of incarceration by assessing each inmates/detainees need for housing transition placement and supportive services.
2. Case Managers will explore all potential housing options to ensure the appropriateness of the placement.
3. Case Managers will ensure that all inmates/detainees have access to all appropriate supportive services to include but not be limited to job training, life skills training, substance abuse and mental health treatment, family reunification and if applicable employment placement.

The Guam Interagency Council and Guam Homeless Coalition members created protocols that include housing options to assist agencies prevent discharge into homelessness.

c. Explain how your government is instituting a homeless discharge coordination policy, and how ESG homeless prevention funds are being used in this effort.

The Guam Interagency Council on Homelessness (GICH) is the islands policy board for guiding efforts to end homelessness on Guam. The GICH is comprised of the First Lady of Guam and other members at the Executive level in the Government of Guam. Updating the island's comprehensive Discharge Plan and the 5-year Plan to End Homelessness are immediate priorities of the Council. Since 2008, the GICH created special committees to address these priorities. ESG funds are not directly utilized to institute a homeless discharge coordination plan, although funds are used to support programs whose services are aimed at putting an end to homelessness.

COMMUNITY DEVELOPMENT

Community Development

1. **Assessment of Relationship of CDBG Funds to Goals and Objectives**
 - a. **Assess use of CDBG funds in relation to the priorities, needs, goals, and specific objectives in the Consolidated Plan, particularly the highest priority activities.**

Guam continues to utilize its CDBG funds to provide decent housing and suitable living environments. Applicants seeking funding must indicate in their initial application what goal their project will achieve. Although only 15% can be used for public services, its use in the community has a positive impact in the community. Public service funds were used during PY2011 to provide support to programs that provide services to homeless persons with severe mental illness, persons recovering

from substance abuse, persons living in underprivileged neighborhoods, and offer a data collection system to programs which captures client level information and services provided to persons who are homeless and at-risk for becoming homeless.

A bulk of CDBG funds, however, is utilized for Public Facilities and Improvement projects. These funds provide for the renovation of buildings, construction of neighborhood facilities that provide a variety of services such as physical fitness, crime prevention, health education, and infrastructure to areas in preparation of projects such as the construction of affordable housing.

- a. Evaluate progress made toward meeting goals for providing affordable housing using CDBG funds, including the number and types of households served.**

Refer to table below.

Guam CAPER Program Year 2011

Goals	Objectives	Problem/Need	Project	National Objective
Homeless Goal	Suitable Living Environment	Insufficient funding for operating current transitional shelter for homeless men with substance abuse problems and no funds to expand operations.	Lighthouse Recovery Center residential treatment facility for homeless men recovering from substance abuse Operations Costs.	LMC
Homeless Goal	Suitable Living Environment	Provide permanent supportive housing to homeless adults with mental illness.	Guma Hinemlo Permanent Supportive Housing permanent supportive housing for adults with serious mental illness, cash match for operations and supportive services costs.	LMC
Homeless Goal	Suitable Living Environment	Support Guam's HUD-mandated computerized data collection system which provides non-duplicated data on the characteristics of and services provided to homeless and at-risk for homeless persons.	Homeless Management Information System (HMIS) will record and store client level information inputted by programs providing services to persons experiencing homelessness or are imminent risk for homelessness.	LMC
Public Service	Suitable Living Environment	Underprivileged neighborhoods need support and outreach services that promote healthy communities and self-sustaining families.	Nurturing Neighborhood Networks will service the low-moderate income residents of Pagachao in Agat, Gil-Baza in Yigo, and Sagan Linahyan in Dededo.	LMC
Community Development Goal	Decent Housing	Sustain the stock of decent housing by providing homeownership rehabilitation direct costs to low and moderate-income homeowners.	Homeownership Direct Costs intends to extend the functional life of a person's primary residence by supporting needed renovations and improvements to correct building code compliance issues and increase energy efficiency in homes.	LMH
Community Development Goal	Decent Housing	Support the development of affordable housing for Guam's low and moderate-income individuals and families.	Homebuyer – Infrastructure (Machananao) consists of 5 single-family dwellings being constructed with HOME funds for sale as affordable housing for qualified individuals and families.	LMH
Community Development Goal	Decent Housing	Support the development of affordable housing for Guam's low and moderate-income individuals and families.	Sagan Bonita Waterline Upgrade consists of 56 affordable permanent housing units. As of PY2011, 43 homes have been completed. The upgrade will support the infrastructure for these homes.	LMH

Guam CAPER Program Year 2011

Community Development Goal	Suitable Living Environment	Improve neighborhoods by providing facilities that promote healthy and sustaining environments.	Renovation and Construction of Macheche Neighborhood Multi-Purpose Facility will provide services to the Macheche area with programs including youth and senior citizens activities, health education, crime prevention, drug-related education sessions, and physical fitness.	LMA
Community Development Goal	Suitable Living Environment	Improve access to health care for low and moderate-income southern villagers.	Expansion and Renovation of the Southern Region Community Health Center will improve the access to health care for low and moderate-income individuals and families in the southern villages of Piti, Santa Rita, Umatac, Yona, Inarajan, Talofofo, Agat and Merizo, 52.58% Low/Mod Population.	LMA
Community Development Goal	Suitable Living Environment	Support the development of affordable housing for Guam's low and moderate-income individuals and families.	New Neighborhood Development (As-Atdas) will provide affordable housing to 100 low and moderate-income persons.	LMH
Community Development Goal	Suitable Living Environment	Support the development of affordable housing for Guam's low and moderate-income individuals and families.	Sagan Linahyan Rental Rehabilitation will provide 10 renovated homes which will be placed back into service as affordable rental housing for low and moderate-income individuals/families.	LMH
Community Development Goal	Suitable Living Environment	Support the development of affordable housing for Guam's low and moderate-income individuals and families.	Staff Housing Rental Rehabilitation will provide 10 renovated homes which will be placed back into service as affordable rental housing for low and moderate-income individuals/families.	LMH

Guam has taken great strides to provide affordable housing to low and moderate income individuals and families. Immediately after touring some of the island's underprivileged neighborhoods the Governor's Administration developed a 5-Year Strategic Plan. Following this plan, the Governor created Executive Order No. 2011-12 which creates the Governor's Affordable Housing Coordinating Council (AHCC). The Council involves key government and non-governmental staff directly or indirectly involved in the development of affordable housing. The purpose of the AHCC is to identify the needs of the community, develop various programs to meet those needs, and accomplish objectives forged in collaboration with the Administration, Legislature, and relevant Affordable Housing Stakeholders. The Governor called for the use of available resources to accomplish this affordable housing goal. CDBG funds have played a critical role in the development of affordable housing. GHURA has accomplished the task of building 43 affordable homes that have been purchased or rented by eligible low and moderate income families. An additional 20 units will be made available as affordable rent by November 2012.

b. Indicate the extent to which CDBG funds were used for activities that benefited extremely low-income, low-income, and moderate-income persons.

All projects funded under CDBG must comply with national objectives. Guam focuses heavily on building communities and supporting projects that provide direct services to extremely low to moderate-income persons.

The table above indicates all activities that benefited extremely low-income, low-income, and moderate-income persons.

2. Changes in Program Objectives

a. Identify the nature of and the reasons for any changes in program objectives and how the jurisdiction would change its program as a result of its experiences.

Guam continued to follow its program objectives as approved in the ConPlan throughout PY2011. Although program objectives have not changed, meeting ConPlan timelines or project deadlines prove difficult due to limited resources, limited service providers, or other unforeseen circumstances.

3. Assessment of Efforts in Carrying Out Planned Actions

a. Indicate how grantee pursued all resources indicated in the Consolidated Plan.

Guam continues to utilize funds through the U.S. Department of Housing and Urban Development, Office of Community Planning and Development. Additionally, Guam receives Low Income Housing Tax Credits in the amount of \$26M which are used to develop affordable rental housing for low and moderate-income individuals and families. Guam also takes advantage of U.S. Department of Agriculture's Rural Development Office which provides developer loans for affordable housing and low-interest mortgage loans to qualified individuals. The Guam Housing Corporation, one of GHURA's major partners in the development and management of affordable housing on Guam and an autonomous agency of the Government of Guam, also offers mortgage loans to eligible first-time homebuyers. Other ConPlan partners also assess other sources of funds (local and federal funds, grants, budgetary allocations, etc.) to accomplish the goals set forth in the ConPlan.

b. Indicate how grantee provided certifications of consistency in a fair and impartial manner.

During the application process, GHURA staff provides technical training to interested applicants. Applicants comprise of local organizations, public and private. All potential applicants are advised of the Consolidated Plan (ConPlan) 2010-2014 priorities. The ConPlan includes objectives and activities for addressing Guam's needs to support the low-income populations, special needs populations, affordable housing objectives, fair housing and the sustainability of existing communities. Additionally, all proposal ideas are discussed with the applicant to ensure projects meet national objectives and are within Code of Federal Regulations. Projects are again screened during the selection process for compliance with national objectives, program objectives and ConPlan Priorities.

Applications for the use of CPD funds are then made available for interested organizations with a project idea. Applications for Program Year 2011 were made available on February 23, 2011. A workshop is then provided to interested applicants. For this reporting year, the workshop was made available on March 25, 2011 at GHURA's main conference room. Application deadline was on April 25, 2011. Each proposal is screened for national objective compliance and ConPlan priorities and objectives. Evaluations for PY2011 projects were conducted between May and June 2011.

Projects that are selected for funding are included in Guam's Annual Action Plan (AAP) which is submitted for HUD approval. The AAP is then made available to the public for comment. GHURA initiated a thirty (30) day comment period which ended on August 3, 2011. A notice was published on two occasions on local newspapers and was posted on GHURA's website – www.ghura.org. A public hearing was then held on July 19, 2011 which allowed the community to comment on the projects selected for CPD funding. These comments are then included into the AAP as are all comments made when the ConPlan and CAPER are available for public comment and review.

c. Indicate how grantee did not hinder Consolidated Plan implementation by action or willful inaction.

Guam did not hinder its ConPlan implementation by action or willful inaction. All applications for CPD funding go through a screening process identifying their eligibility based on the national objectives. Projects are also awarded based on priorities of the ConPlan.

4. For Funds Not Used for National Objectives

a. Indicate how use of CDBG funds did not meet national objectives.

All projects awarded CDBG funding are deemed eligible for funds based on meeting national objectives. Guam does not engage in activities that do not meet national objectives.

b. Indicate how did not comply with overall benefit certification.

All projects awarded CDBG funding are deemed eligible for funds based on meeting national objectives. Guam does not engage in activities that do not meet national objectives.

5. Anti-displacement and Relocation – for activities that involve acquisition, rehabilitation or demolition of occupied real property

a. Describe steps actually taken to minimize the amount of displacement resulting from the CDBG-assisted activities.

Guam's *Staff Housing Rental Rehabilitation* project will result in the displacement of six households. These homes have been deemed unsafe for human habitation. Households are currently being assessed for eligibility under the Uniform Relocation Act (URA). Additionally, households are being informed of services available in the community as many do not have adequate resources that will sustain their self-sufficiency and maintain housing stability. Households who qualify for relocation

assistance will be provided rental and/or moving cost payments as allowed under the URA.

- b. Describe steps taken to identify households, businesses, farms or nonprofit organizations who occupied properties subject to the Uniform Relocation Act or Section 104(d) of the Housing and Community Development Act of 1974, as amended, and whether or not they were displaced, and the nature of their needs and preferences.**

Guam's *Staff Housing Rental Rehabilitation* project will result in the displacement of six households. These homes have been deemed unsafe for human habitation as a result of initial inspection by GHURA's Architect and Engineering division. Community Development staff have conducted initial screening of occupants in the households to determine eligibility. Households are currently being assessed for eligibility under the Uniform Relocation Act. Additionally, households are being informed of services available in the community as many do not have adequate resources that will sustain their self-sufficiency and maintain housing stability. Households who qualify for relocation assistance will be provided rental and/or moving cost payments as allowed under the URA.

- c. Describe steps taken to ensure the timely issuance of information notices to displaced households, businesses, farms, or nonprofit organizations.**

GHURA has worked diligently to ensure occupants are informed of the rehabilitation that will occur and eventual displacement. GHURA follows the URA regulations that cover planning, notices, advisory services and filing claims for payments under the general relocation requirements. GHURA took steps to ensure cooperation and coordination with the affected occupants in order for the project to continue efficiently with minimal duplication efforts. Printed copies of the HUD information brochures were made available to the affected occupants. The brochure informs the occupants of eligibility, relocation payments, and process of appeal.

6. Low/Mod Job Activities – for economic development activities undertaken where jobs were made available but not taken by low- or moderate-income persons

- a. Describe actions taken by grantee and businesses to ensure first consideration was or will be given to low/mod persons.**

Guam did not engage in any economic development activities during PY2011.

- b. List by job title of all the permanent jobs created/retained and those that were made available to low/mod persons.**

Guam did not engage in any economic development activities during PY2011.

- c. If any of jobs claimed as being available to low/mod persons require special skill, work experience, or education, provide a description of steps being taken or that will be taken to provide such skills, experience, or education.**

Guam did not engage in any economic development activities during PY2011.

7. Low/Mod Limited Clientele Activities – for activities not falling within one of the categories of presumed limited clientele low and moderate income benefit

- a. Describe how the nature, location, or other information demonstrates the activities benefit a limited clientele at least 51% of whom are low- and moderate-income.**

Guam utilizes Census data, conducts site visits, analyzes map data and utilizes other tools at its disposal to determine the service areas for projects slated to receive CDBG funding. Guam also consults with the intended service provider or user-agency to clearly identify their intended service area, ensuring that the area in question meets the population requirement of at least 51% of whom are low-and moderate-income persons.

8. Program income received

- a. Detail the amount of program income reported that was returned to each individual revolving fund, e.g., housing rehabilitation, economic development, or other type of revolving fund.**

GHURA received approximately \$129,000 in program income funds. Of this amount, \$42,000 was CDBG program income and \$87,000 was HOME program income.

- b. Detail the amount repaid on each float-funded activity.**

N/A

- c. Detail all other loan repayments broken down by the categories of housing rehabilitation, economic development, or other.**

N/A

- d. Detail the amount of income received from the sale of property by parcel.**

N/A

9. Prior period adjustments – where reimbursement was made this reporting period for expenditures (made in previous reporting periods) that have been disallowed, provide the following information:

- a. The activity name and number as shown in IDIS;**

N/A

- b. The program year(s) in which the expenditure(s) for the disallowed activity(ies) was reported;**

N/A

c. The amount returned to line-of-credit or program account; and

N/A

d. Total amount to be reimbursed and the time period over which the reimbursement is to be made, if the reimbursement is made with multi-year payments.

N/A

10. Loans and other receivables

a. List the principal balance for each float-funded activity outstanding as of the end of the reporting period and the date(s) by which the funds are expected to be received.

N/A

b. List the total number of other loans outstanding and the principal balance owed as of the end of the reporting period.

N/A

c. List separately the total number of outstanding loans that are deferred or forgivable, the principal balance owed as of the end of the reporting period, and the terms of the deferral or forgiveness.

N/A

d. Detail the total number and amount of loans made with CDBG funds that have gone into default and for which the balance was forgiven or written off during the reporting period.

N/A

e. Provide a List of the parcels of property owned by the grantee or its subrecipients that have been acquired or improved using CDBG funds and that are available for sale as of the end of the reporting period.

N/A

11. Lump sum agreements

a. Provide the name of the financial institution. N/A

b. Provide the date the funds were deposited. N/A

c. Provide the date the use of funds commenced. N/A

d. Provide the percentage of funds disbursed within 180 days of deposit in the institution. N/A

12. Housing Rehabilitation – for each type of rehabilitation program for which projects/units were reported as completed during the program year

- a. Identify the type of program and number of projects/units completed for each program.**

Homeowner Rehabilitation Direct Costs

CDBG funds were utilized to support the administration of the Homeowner Rehabilitation Loan Program under HOME. The Loan Program assists low-and very-low income homeowners to sustain the physical and economic life of their homes. Eligible CDBG costs are related to the provision of services to homeowners engaging in rehabilitation activities. Six applicants were HOME assisted during PY2011. HOME funds in the amount of \$54,546.56 for the Homeowner Rehabilitation Program were spent to assist the needs of these six applicants. CDBG funds in the amount of \$126,934.95 for the Homeowner Rehabilitation Direct Costs. Services provided under the Direct Costs program include housing counseling, preparation of work specifications, loan processing, inspections, and other services related to assisting owners, contractors, and other entities participating or seeking to participate in the HOME program.

- b. Provide the total CDBG funds involved in the program.**

CDBG funds in the amount of \$167,254.40 are allocated for the Homeowner Rehabilitation Direct Costs activity. Of this amount, \$126,934.95 was spent during PY2011.

- c. Detail other public and private funds involved in the project.**

No other public or private funds were involved in the Homeowner Rehabilitation Direct Costs.

13. Neighborhood Revitalization Strategies – for grantees that have HUD-approved neighborhood revitalization strategies

- a. Describe progress against benchmarks for the program year. For grantees with Federally-designated EZs or ECs that received HUD approval for a neighborhood revitalization strategy, reports that are required as part of the EZ/EC process shall suffice for purposes of reporting progress.**

Guam did not engage in Neighborhood Revitalization Strategies.

Antipoverty Strategy

- 1. Describe actions taken during the last year to reduce the number of persons living below the poverty level.**

Guam engaged in several activities to help reduce the number of persons living below the poverty level. Guam continued to provide supportive services to participants who received rental and utility assistance under the Homeless Prevention and Rapid Re-Housing Program and Emergency Shelter/Solutions Grant.

These supportive services consisted of case management, credit repair counseling, money management life skills class, and referrals to mainstream and medical and mental health care services.

Guam also provided Community-Based Family Education and Youth Development services to low and moderate-income residents through the Nurturing Neighborhood Network program. These services were provided to residents living in impoverished neighborhoods. These supportive services are aimed to support healthy communities and self-sustaining families.

NON-HOMELESS SPECIAL NEEDS

Non-homeless Special Needs

1. Identify actions taken to address special needs of persons that are not homeless but require supportive housing, (including persons with HIV/AIDS and their families).

Guam continued to administer the Homeless Prevention and Rapid Re-Housing Program (HPRP) to provide short and medium-term rental and utility assistance to persons who are homeless or at-risk for becoming homeless. Funds for this program were fully expended in June 2012. Guam continued to provide homeless prevention assistance through the Emergency Shelter/Solutions Grant (ESG). Both programs provided supportive services to assist program participants to obtain greater self-sufficiency and housing stability.

Guam anticipates the utilization of the Emergency Solutions Grant to continue providing short and medium-term rental and utility assistance to persons who are homeless or at-risk. Program participants will be required to develop an Individualized Service Plan that will identify needed services and resources to continue monthly rental and utility assistance when the Solutions Grant assistance ends. Program staff continues to provide housing stability and relocation services to ensure participants reach their full potential to live independently and maintain their housing payments.

Specific HOPWA Objectives

1. Overall Assessment of Relationship of HOPWA Funds to Goals and Objectives

Grantees should demonstrate through the CAPER and related IDIS reports the progress they are making at accomplishing identified goals and objectives with HOPWA funding. Grantees should demonstrate:

Guam does not receive HOPWA funds. Therefore, this section is not applicable.

- a. That progress is being made toward meeting the HOPWA goal for providing affordable housing using HOPWA funds and other resources for persons with HIV/AIDS and their families through a comprehensive community plan;**

- b. That community-wide HIV/AIDS housing strategies are meeting HUD's national goal of increasing the availability of decent, safe, and affordable housing for low-income persons living with HIV/AIDS;
 - c. That community partnerships between State and local governments and community-based non-profits are creating models and innovative strategies to serve the housing and related supportive service needs of persons living with HIV/AIDS and their families;
 - d. That through community-wide strategies Federal, State, local, and other resources are matched with HOPWA funding to create comprehensive housing strategies;
 - e. That community strategies produce and support actual units of housing for persons living with HIV/AIDS; and finally,
 - f. That community strategies identify and supply related supportive services in conjunction with housing to ensure the needs of persons living with HIV/AIDS and their families are met.
2. This should be accomplished by providing an executive summary (1-5 pages) that includes:

Guam does not receive HOPWA funds. Therefore, this section is not applicable.

a. Grantee Narrative

i. Grantee and Community Overview

- (1) A brief description of your organization, the area of service, the name of each project sponsor and a broad overview of the range/type of housing activities and related services
- (2) How grant management oversight of project sponsor activities is conducted and how project sponsors are selected
- (3) A description of the local jurisdiction, its need, and the estimated number of persons living with HIV/AIDS
- (4) A brief description of the planning and public consultations involved in the use of HOPWA funds including reference to any appropriate planning document or advisory body
- (5) What other resources were used in conjunction with HOPWA funded activities, including cash resources and in-kind contributions, such as the value of services or materials provided by volunteers or by other individuals or organizations
- (6) Collaborative efforts with related programs including coordination and planning with clients, advocates, Ryan White CARE Act planning bodies, AIDS Drug Assistance Programs, homeless assistance programs, or other efforts that assist persons living with HIV/AIDS and their families.

ii. Project Accomplishment Overview

- (1) A brief summary of all housing activities broken down by three types: emergency or short-term rent, mortgage or utility payments to prevent homelessness; rental assistance; facility based housing, including development cost, operating cost for those facilities and community residences

- (2) The number of units of housing which have been created through acquisition, rehabilitation, or new construction since 1993 with any HOPWA funds**
- (3) A brief description of any unique supportive service or other service delivery models or efforts**
- (4) Any other accomplishments recognized in your community due to the use of HOPWA funds, including any projects in developmental stages that are not operational.**

iii. Barriers or Trends Overview

- (1) Describe any barriers encountered, actions in response to barriers, and recommendations for program improvement**
- (2) Trends you expect your community to face in meeting the needs of persons with HIV/AIDS, and**
- (3) Any other information you feel may be important as you look at providing services to persons with HIV/AIDS in the next 5-10 years**

b. Accomplishment Data

- i. Completion of CAPER Performance Chart 1 of Actual Performance in the provision of housing (Table II-1 to be submitted with CAPER).**
- ii. Completion of CAPER Performance Chart 2 of Comparison to Planned Housing Actions (Table II-2 to be submitted with CAPER).**

OTHER NARRATIVE

Include any CAPER information that was not covered by narratives in any other section.

CAPER Other Narrative response:

Priority Needs	Goal	Objective	Activity	I.D. #	2010		2011		2012		2013		2014		Total		Measurement	Outcomes	PY11 Activities Complete / Underway / Ongoing	
					Proposed	Actual	Proposed	Actual	Proposed	Actual	Proposed	Actual	Proposed	Actual						
HOUSING	Make Decent Housing Available and Accessible	Acquire, construct, rehabilitate, or convert structures for use as housing for special need populations	Housing for Persons with Disabilities	1DH-1.1	0	0	1	0	0	0	0	0	0	0			Facility	Increase the supply of supportive housing which includes structural features and services to enable persons with disabilities to live independently.		
			Housing for Youth and Children	2DH-1.1	1	0	0	0	0	0	0	0	0	0	0			Facility	Young adults will receive permanent housing and supportive services as they transition into independence and self-reliance.	
	Conduct outreach and education on the Fair Housing Act																	Section 8 and Public Housing Briefings; FH brochures and posters printed in multiple languages		
																	Persons	Renters and homeowners will be more knowledgeable of their right to equal housing.		
	Support the development and operation of a homeownership counseling program																	Make the home buying process less complicated and less expensive. Educated and knowledgeable homebuyers will be capable of sustaining long-term home ownership.		
																	Persons	Make the home buying process less complicated and less expensive.		
	Make Decent Housing Affordable		Increase homebuyer/renter opportunities for low- and moderate-income individuals	7DH-2.1	50	0	50	0	50	50	50	50	50	50			Homebuyer Households	Make the home buying process less complicated and less expensive.		
			Support new development of affordable housing opportunities for homebuyers and renters	8DH-2.1	0	0	2	0	0	0	2	0	0	0			Homebuyer Households	Make the home buying process less complicated and less expensive.		
			Support new development of affordable housing opportunities for homebuyers and renters	9DH-2.1	10	0	10	43	10	10	10	10	10	10			Homebuyer Households	Make the home buying process less complicated and less expensive.	Sagan Bonita Affordable Homeownership	
			Support new development of affordable housing opportunities for homebuyers and renters	10DH-2.1	1	1	1	1	1	0	0	0	0	0			Households	Make the home buying process less complicated and less expensive.	Neighborhood Stabilization Program	
			Support new development of affordable housing opportunities for homebuyers and renters	11DH-2.1	0	0	0	0	0	10	0	0	0	0			Renter Households	Increase affordable rental opportunities.		
			Support new development of affordable housing opportunities for homebuyers and renters	12DH-2.1	2500	0	2500	2545	2500	2500	2500	2500	2500	2500			Renter Households	Increase affordable rental opportunities.	Housing Choice Voucher Program	
				Elderly Housing															Guam will sustain the functional life of housing for elderly persons.	
				First Time Homebuyer Housing Choice Voucher Homeownership Program																
				Low-Income Housing Tax Credit Program		141	60	70	0	151									Guam will increase its supply of affordable rental housing.	
			Single and multi-family housing																	

Priority Needs	Goal	Objective	Activity	I.D. #	2010		2011		2012		2013		2014		Total		Measurement	Outcomes	PY11 Activities Complete / Underway / Ongoing
					Proposed	Actual	Proposed	Actual	Proposed	Actual	Proposed	Actual	Proposed	Actual					
HOUSING			Sweat-Equity Housing														Guam will create more opportunities for homeownership among low and moderate-income homebuyers, first-time homebuyers and minority homebuyers.		
			Tenant-Based Rental Assistance		2545	2545	2545	2545										Housing Choice Voucher Program	
	Sustain the available stock of decent housing	Acquire, construct, rehabilitate, or convert structures for use as housing for special need populations	Elderly and Frail Elderly Housing	14DH-2.1	10	14	0	0	0	0	0	0	0			Renter Households	Increase the supply of affordable rental housing. Increase homeownership and independent living for the elderly.		
		Assist Very-low and low-income homeowners to sustain the physical and economic life of their homes, to meet current building code standards, and/or to modify to meet ADA standards	Rehabilitation Loan Program	15DH-2.1	12	9	12	6	10	12	12					Owner Households	Guam will implement programs to support retention of its existing stock of affordable housing for homeowners, renters, and persons to populations with special needs.	Homeowner Rehabilitation Loan Program	
		Renovate 150 Public Housing Units		16DH-2.1	30		30	47	30		30					Renter Households	Retention of affordable housing stock		
		Support the work of organizations providing assistance to very-low and low-income individuals, and special needs populations	Homeless Prevention/Rapid Re-Housing/Housing Relocation & Stabilization Services	17DH-2.2	0	0	110	96	110									ESG: Funds provide for short and medium-term rental assistance and housing relocation and stabilization services	

Priority Needs	Goal	Objective	Activity	I.D. #	2010		2011		2012		2013		2014		Total		Measurement	Outcomes	PY11 Activities Complete / Underway / Ongoing
					Proposed	Actual	Proposed	Actual	Proposed	Actual	Proposed	Actual	Proposed	Actual					
COMMUNITY DEVELOPMENT	Make Suitable Living Environments Available and Accessible	Construct or rehabilitate facilities to serve low- and moderate-income communities and special needs populations.: Community centers, sports, and recreational facilities				1	0	0	0	1		0	1			Facility		CDBG	
		Construct or rehabilitate facilities to serve low- and moderate-income communities and special needs populations.: Community learning and Resource Centers	Community Habilitation and Respite Care Center				0	0	1	0	0	0	0	0			Facility	Increasing access to quality public and private facilities and services. Persons with disabilities will receive training on activities of daily living, develop their skills and interact with their community. Families caring for persons with disabilities will receive information and resources. Community care giving tasks will have a center to go to with recreational activities and staff skilled to provide specialized care.	
			Day-Care Facility	19SL-1.2	1	0	0	0	0	1		1	0			Facility		CDBG: Renovation and Construction of Machetche Neighborhood Multipurpose Facility	
			Resource Center	20SL-1.2	0	0	1	1	1	1		0	1			Facility	Homeless youth will have their basic needs for food, shelter and a nurturing environment addressed.		
			Youth Center	21SL-1.2	0	0	0	0	0	0		1	0			Facility	Victims of domestic violence will have access to more shelter beds. Victims of child abuse will have increased access to housing as they wait for placement into foster care.	CDBG: Design and construct Alea I Housing for Women and children	
			Shelter for Victims of Neglect and Abuse	23SL-1.3	0	0	1	1	0	0		0	0			Facility	Victims of sexual assault will have access to a safe and secure shelter in times of crisis.		
			Shelter for Victims of Sexual Assault	24SL-1.3	0	0	1	1	0	0		0	0			Facility	Expand the number of transitional housing beds and provide new options for homeless families unable to meet the minimum rent for existing transitional housing.		
		Construct or rehabilitate facilities to serve low- and moderate-income communities and special needs populations.: Substance abuse and residential treatment facilities		25SL-1.4	0	0	0	0	0	0		0	0			Facility	Decrease in the number of chronically homeless and low/moderate-income individuals with substance abuse issues.	CDBG&ESG: Operational support	
		Operational support of facilities providing residential substance abuse treatment and recovery programs		26SL-1.5	40		40	46	40	40		40	40			Persons			

Priority Needs	Goal	Objective	Activity	I.D. #	2010		2011		2012		2013		2014		Total		Measurement	Outcomes	PY11 Activities Complete / Underway / Ongoing	
					Proposed	Actual	Proposed	Actual	Proposed	Actual	Proposed	Actual	Proposed	Actual						
COMMUNITY DEVELOPMENT GOALS	Sustain Access to suitable living environments serving low- and moderate-income populations and special needs populations	Improve access to public safety service in low- and moderate-income neighborhoods: Construction or rehabilitation of public safety facilities	Detention Center	28SL-3.1	0	0	1	0	0	0	1						Facility	Fire stations will be constructed to meet fire department standards.	CDBG: Guma Hinemlo Cash match	
			Fire Stations	29SL-3.1	0		0	0	0	0	0	0	1					Facility		
			Police Precinct	30SL-3.1	0		0	0	0	0	0	1	0					Facility		Precincts will be constructed to meet police department standards.
	Make Economic Opportunities available and accessible	Develop a microenterprise incubator																Make economic opportunities available and accessible, affordable, and sustainable for low and moderate-income individuals.		
			37EO-1.1	0	0	1	0	0	0	0	0	0	0				Businesses	Make economic opportunities available and accessible, affordable, and sustainable for low and moderate-income individuals.		
																		Businesses	Make economic opportunities available and accessible, affordable, and sustainable for low and moderate-income individuals.	
			Develop a small business incubator		38EO-1.1	0	0	0	0			1	0					Jobs		
			Support job creation opportunities of historic preservation and cultural preservation efforts	Arts and Cultural Center	39EO-1.1	0	0	0	0	1	0	0	0				Jobs			
				Guam Museum	40EO-1.1	tbd		tbd		tbd	tbd	tbd					Jobs			
				Science and Learning Center	41EO-1.1	tbd		tbd		tbd	tbd	tbd					Jobs			
			Support the sustainability of ongoing economic opportunities	Construct or rehabilitate public transit facilities at key points in the mass transit route	42EO-3.1	0	0	3	0	0	0	0	0				Persons			

Priority Needs	Goal	Objective	Activity	I.D. #	2010		2011		2012		2013		2014		Total		Measurement	Outcomes	PY11 Activities Complete / Underway / Ongoing
					Proposed	Actual	Proposed	Actual	Proposed	Actual	Proposed	Actual	Proposed	Actual					
HOMELESS	Make Decent Housing Available and Accessible		Safe Haven	3DH-1.1	0	0	0	0	0	0	0	0	1			Facility	People living with terminal illness in their late stages will receive the specialized care they need in a dignified and appropriate setting.		
	Make Suitable Living Environments Available and Accessible	Construct or rehabilitate facilities to serve low- and moderate-income communities and special needs populations.: Emergency and Transitional Shelters	Homeless Shelter	22SL-1.3	0	0	0	0	1	0	0	0	0			Facility	Expand the number of Emergency Shelter beds.		
	Sustain Access to suitable living environments serving low- and moderate-income populations and special needs populations	Support the work of organizations providing assistance to very-low and low-income individuals, and special needs populations	Housing and Supportive Services	31SL-3.2	1	1	2	2	2	0	0	0	0			Facility		CDBG: HMIS & Guma Hinemio Cash Match	
			Resource Center	32SL-3.1	0	0	1	1	1									CDBG: Operating costs for the Nurturing Neighborhood Networks program	
			Soup Kitchen or Food Bank Facility	33SL-3.2	0	0	1	0	0	0	0	0	0			Facility			
			The conduct (operation) of the periodic Homeless Street and Shelter Count	34SL-3.3	0	0	1	0	0	0	1	0	0			Unit	Count unsheltered homeless and homeless in emergency shelters to update information on homeless population, characteristics, shelter needs and service needs.	CDBG: HMIS Cash Match	
	Sustain access to suitable living environments serving special needs populations		Drop-In Center	35SL-3.3	500	0	500	0	500	500	500	500	500			Persons			
			Shelter for Victims of Neglect and Abuse	36SL-3.3	250	0	250	8	250		250	250	250			Persons	Victims of domestic violence will have access to more shelter beds. Victims of child abuse will have increased access to housing as they wait for placement into foster care.		

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	GUAM
Organizational DUNS Number	855031519
EIN/TIN Number	960001279
Identify the Field Office	HONOLULU
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Guam CoC

ESG Contact Name

Prefix	Mr.
First Name	Michael
Middle Name	J.
Last Name	Duenas
Suffix	
Title	Acting Executive Director

ESG Contact Address

Street Address 1	117 Bien Venida Avenue
Street Address 2	
City	Sinajana
State	Guam
ZIP Code	96910
Phone Number	671-477-9851
Extension	
Fax Number	671-300-7565
Email Address	mjduenas@ghura.org

ESG Secondary Contact

Prefix	Ms.
First Name	Katherine
Last Name	Taitano
Suffix	
Title	Acting Chief Planner
Phone Number	671-477-9851
Extension	322
Email Address	katherine@ghura.org

2. Reporting Period—All Recipients Complete

Program Year Start Date	10/01/2011
Program Year End Date	09/30/2012

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: The Salvation Army

City: 155003 Corsair Avenue, Tiyan

State: GU

Zip Code: 96913

DUNS Number: 855033457

Is subrecipient a VAWA-DV provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: \$220,084

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	49
Children	47
Don't Know/Refused	0
Missing Information	0
Total	96

Table 1 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused	0
Missing Information	0
Total	0

Table 2 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	46
Children	0
Don't Know/Refused	0
Missing Information	0
Total	46

Table 3 – Shelter Information

4d. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	95
Children	47
Don't Know/Refused	0
Missing Information	0
Total	142

Table 4 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	90
Female	52
Transgendered	0
Unknown	0
Total	142

Table 5 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	47
18-24	12
Over 24	83
Don't Know/Refused	0
Missing Information	0
Total	142

Table 6 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households				
Subpopulation	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters	Total
Veterans	1	0	9	10
Victims of Domestic Violence	0	0	0	0
Elderly	2	0	0	2
HIV/AIDS	0	0	0	0
Chronically Homeless	0	0	0	0
Persons with Disabilities:				
Severely Mentally Ill	0	0	3	3
Chronic Substance Abuse	1	0	19	20
Other Disability	0	0	30	30
Total (Unduplicated if possible)	4	0	46	50

Table 7 – Special Population Served

CR-70 – Assistance Provided

8. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	60
Total Number of bed-nights provided	46
Capacity Utilization	76.67%

Table 8 – Shelter Capacity

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	FY 2009	FY 2010	FY 2011
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	12,984	0	4,083
Subtotal Homelessness Prevention	12,984	0	4,083

Table 9 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	FY 2009	FY 2010	FY 2011
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	0	0	0

Table 10 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	FY 2009	FY 2010	FY 2011
Essential Services	37,627	48,206	22,182
Operations	42,578	61,278	51,260
Renovation	0	0	0

Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	80,205	109,484	73,442

Table 11 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	FY 2009	FY 2010	FY 2011
HMIS	0	0	0
Administration	9,960	9,994	1,420
Street Outreach	0	0	0

Table 12 Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	FY 2009	FY 2010	FY 2011
301,572	103,149	119,478	78,945

Table 13 Total ESG Funds Expended

11f. Match Source

	FY 2009	FY 2010	FY 2011
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	0	0	0
Local Government	0	0	0
Private Funds	0	0	0
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	0	0	0

Table 14 Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	FY 2009	FY 2010	FY 2011
301,572	103,149	119,478	78,945

Table 15 - Total Amount of Funds Expended on ESG Activities